

## TD 4

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**Exercise 1.** Consider the problem: *Input* A tree automaton  $A$  and a term  $t$   
*Output* “yes” iff at least one ground instance of  $t$  is accepted by  $A$   
 Prove that the problem is *EXPTIME*-complete.

*Proof.* (Sketch)

1. first we prove that the problem is *EXPTIME*-easy.

Construct  $A'$ , a reduced (i.e. all states are accesible) DFTA which accepts the same language as  $A$ . The cost of this step and the size of the resulting automaton  $A'$  is at most exponential in the size of  $A$ . Furthermore, as  $A'$  is deterministic, for any ground term  $s$  such that  $s \rightarrow_{A'} q_1$  and  $s \rightarrow_{A'} q_2$  we must have  $q_1 = q_2$ .

Let  $x_1, \dots, x_k$  be the variables appearing in  $t$ . For all substitutions  $\sigma = \{x_1 \mapsto q_1, \dots, x_k \mapsto q_k\}$ , where  $q_1, \dots, q_k$  are states of  $A'$ , verify if  $t\sigma \rightarrow_{A'} q_f$  where  $q_f$  is a final state of  $A'$ . If this verification works for at least one substitution  $\sigma$ , answer 'yes'. Otherwise 'no'.

There are at most exponentially many substitutions  $\sigma$  (at most  $n^k$ , where  $n$ , the number of states of  $A'$ , is at most exponential in the size of the input and where  $k$ , the number of variables in  $t$ , is at most the size of the input). Each verification  $t\sigma \rightarrow_{A'} q_f$  can be done in polynomial time with a suitable representation of  $A'$ .

Therefore the entire algorithm is exponential and we can conclude that the problem is *EXPTIME*-easy.

2. we now prove that the problem is *EXPTIME*-hard.

We reduce the problem of the emptyness of the intersection of  $n$  tree automata to our problem. Consider  $n$  state disjoint tree automata  $A_1, \dots, A_n$ . Testing if  $L(A_1) \cap \dots \cap L(A_n) = \emptyset$  is *EXPTIME*-hard.

Let  $s$  be a complete binary tree with  $\lceil \log_2(n) \rceil + 1$  levels (which implies there are  $2^{\lceil \log_2(n) \rceil}$  leaves), where all nodes which are not leaves are labelled with a binary symbol  $f$ , the first  $n$  leaves from left to right are labelled with the variables  $x_1, \dots, x_n$  and the last  $2^{\lceil \log_2(n) \rceil} - n$  nodes are labelled with a constant symbol  $a$ .

W.l.o.g. we assume that every automaton  $A_i = (\mathcal{F}, Q_i, \{q_i\}, \Delta_i)$  has exactly one final state  $q_i$  (otherwise add a new state which will be the only final state and add  $\epsilon$ -transitions from all old final states to the new final state).

We construct an automaton  $A = (\mathcal{F} \cup \{f(\cdot, \cdot), a\}, \bigcup_i Q_i, \{q_f\}, \Delta)$ , where

$$\Delta = \bigcup_i \Delta_i \cup \{s\{x_i \mapsto q_i\} \rightarrow q_f\}$$

and where we took some permission to write a transition rule which is not a tree automata transition rule, but which can be transformed into a set of tree automata rules which are equivalent to it by adding some intermediate states.

We let  $t = s\{x_i \mapsto x\}$  for some new variable  $x$ . Then  $A$  accepts a ground instance of  $t$  iff  $L(A_1) \cap \dots \cap L(A_n) \neq \emptyset$

□