

A link between inconsistent databases and CSP

Gaëlle Fontaine

joint work with Balder ten Cate and Phokion Kolaitis

University of California, Santa Cruz

Inconsistent databases

- ▶ databases are finite models and queries are formulas
example: **conjunctive queries** are FO formulas built from \wedge and \exists
- ▶ given a database I and a query $q(\mathbf{x})$, the **answer of q** on I is given by

$$q(I) = \{\mathbf{a} : I \models q(\mathbf{a})\}$$

Inconsistent databases

- ▶ databases are finite models and queries are formulas
example: **conjunctive queries** are FO formulas built from \wedge and \exists
- ▶ given a database I and a query $q(\mathbf{x})$, the **answer of q** on I is given by

$$q(I) = \{\mathbf{a} : I \models q(\mathbf{a})\}$$

- ▶ **constraints** are semantic properties the database should obey
a set of constraints Σ is a set of formulas
- ▶ a database I is **consistent** (w.r.t. Σ) if $I \models \Sigma$

Repairs

Arenas, Bertossi, Chomicki: J is a **repair** of I w.r.t. Σ if

- ▶ J is consistent,
- ▶ J differs from I in a “minimal way”

Repairs

Arenas, Bertossi, Chomicki: J is a **repair** of I w.r.t. Σ if

- ▶ J is consistent,
- ▶ J differs from I in a “minimal way”

How to define minimal?

- ▶ several possibilities: set-based, cardinality-based, attribute-based repairs

Repairs

Arenas, Bertossi, Chomicki: J is a **repair** of I w.r.t. Σ if

- ▶ J is consistent,
- ▶ J differs from I in a “minimal way”

How to define minimal?

- ▶ several possibilities: set-based, cardinality-based, attribute-based repairs

J is a **(set-based) repair** of I if

- ▶ J is consistent,
- ▶ if J' is s.t. $J' \oplus I \subsetneq J \oplus I$, then J' is inconsistent

Examples

J is a (set-based) repair of I if

- ▶ J is consistent,
- ▶ if J' is s.t. $J' \oplus I \subsetneq J \oplus I$, then J' is inconsistent

Example 1: $\Sigma = \{\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow y = z)\}$

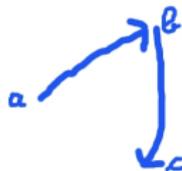


Examples

J is a (set-based) repair of I if

- ▶ J is consistent,
- ▶ if J' is s.t. $J' \oplus I \subsetneq J \oplus I$, then J' is inconsistent

Example 1: $\Sigma = \{\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow y = z)\}$

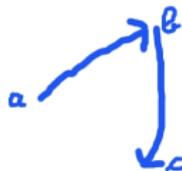


Examples

J is a (set-based) repair of I if

- ▶ J is consistent,
- ▶ if J' is s.t. $J' \oplus I \subsetneq J \oplus I$, then J' is inconsistent

Example 1: $\Sigma = \{\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow y = z)\}$



Example 2: $\Sigma = \{\forall x, y (R(x, y) \rightarrow \exists z R(y, z))\}$

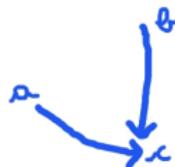
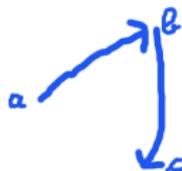


Examples

J is a (set-based) repair of I if

- ▶ J is consistent,
- ▶ if J' is s.t. $J' \oplus I \subsetneq J \oplus I$, then J' is inconsistent

Example 1: $\Sigma = \{\forall x, y, x(R(x, y) \wedge R(x, z) \rightarrow y = z)\}$



Example 2: $\Sigma = \{\forall x, y(R(x, y) \rightarrow \exists zR(y, z))\}$

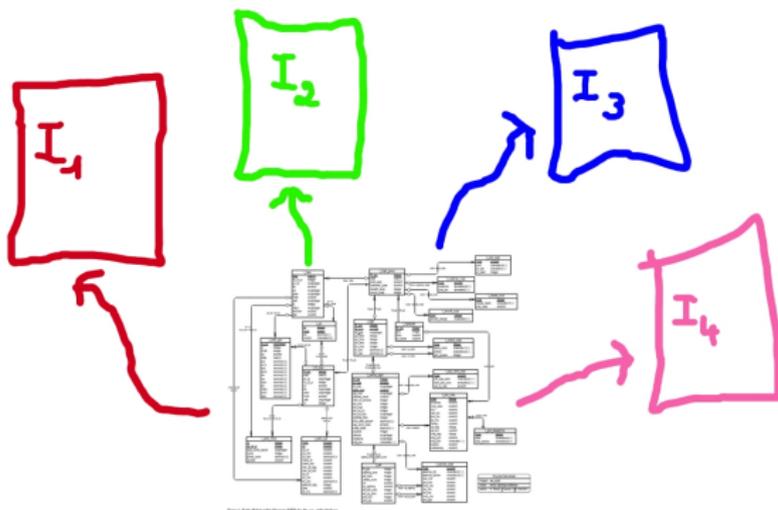


the repairs are all cycles

Consistent query answering

The **consistent query answers** of q on I , $Cons(q, I)$, is given by

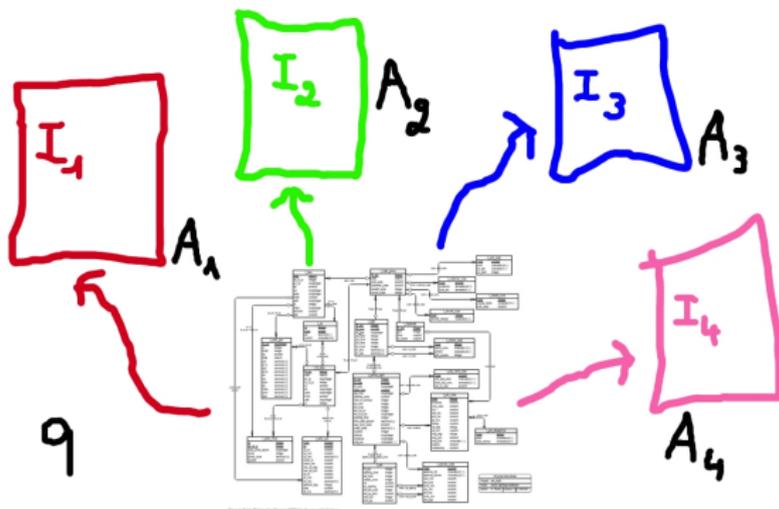
$$Cons(q, I) = \bigcap \{q(J) : J \text{ is a repair of } I\}$$



Consistent query answering

The **consistent query answers** of q on I , $Cons(q, I)$, is given by

$$Cons(q, I) = \bigcap \{q(J) : J \text{ is a repair of } I\}$$



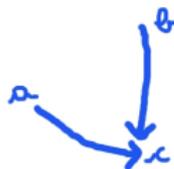
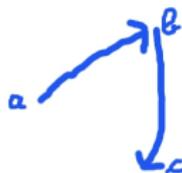
$$Cons(q, I) = A_1 \cap A_2 \cap A_3 \cap A_4$$

Example

The **consistent query answers** of q on I , $Cons(q, I)$, is given by

$$Cons(q, I) = \bigcap \{q(J) : J \text{ is a repair of } I\}$$

Example: $\Sigma = \{\forall x, y, xR(x, y) \wedge R(x, z) \rightarrow y = z\}$



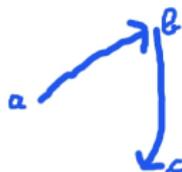
► $q(y) = \exists x R(x, y)$

Example

The **consistent query answers** of q on I , $Cons(q, I)$, is given by

$$Cons(q, I) = \bigcap \{q(J) : J \text{ is a repair of } I\}$$

Example: $\Sigma = \{\forall x, y, xR(x, y) \wedge R(x, z) \rightarrow y = z\}$



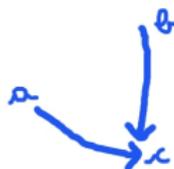
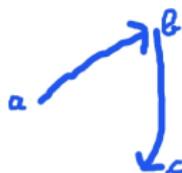
- ▶ $q(y) = \exists x R(x, y)$
- ▶ $q(I_1) = \{b, c\}$, $q(I_2) = \{c\}$

Example

The **consistent query answers** of q on I , $Cons(q, I)$, is given by

$$Cons(q, I) = \bigcap \{q(J) : J \text{ is a repair of } I\}$$

Example: $\Sigma = \{\forall x, y, xR(x, y) \wedge R(x, z) \rightarrow y = z\}$



- ▶ $q(y) = \exists x R(x, y)$
- ▶ $q(I_1) = \{b, c\}$, $q(I_2) = \{c\}$
- ▶ $Cons(q, I) = \{c\}$

Complexity of consistent query answering

Data complexity of consistent query answering:

- ▶ fix a query q and a set of constraints Σ
- ▶ $CQA(q, \Sigma)$ is the problem that given a database I and a tuple \mathbf{a} , decide whether \mathbf{a} belongs to the consistent answers of q on I

Complexity of consistent query answering

Data complexity of consistent query answering:

- ▶ fix a query q and a set of constraints Σ
- ▶ $CQA(q, \Sigma)$ is the problem that given a database I and a tuple \mathbf{a} , decide whether \mathbf{a} belongs to the consistent answers of q on I

Data complexity of CQA has been extensively studied for different classes of constraints and queries

- ▶ **constraints:** key constraints, denial constraints, tgds (including LAV, GAV)
- ▶ **complexity of CQA for conjunctive queries:** from first-order rewritability to undecidability
- ▶ for an overview, see “Database repairing and consistent query answering” by Bertossi (2011)

Dichotomy conjecture

Fix a set Σ of key constraint and a conjunctive query q .

Fact: $CQA(q, \Sigma)$ is in co-NP.

Conjecture: (Afrati, Kolaitis)

- ▶ either $CQA(q, \Sigma)$ is polynomial,
- ▶ or $CQA(q, \Sigma)$ is co-NP complete

Dichotomy conjecture

Fix a set Σ of key constraint and a conjunctive query q .

Fact: $CQA(q, \Sigma)$ is in co-NP.

Conjecture: (Afrati, Kolaitis)

- ▶ either $CQA(q, \Sigma)$ is polynomial,
- ▶ or $CQA(q, \Sigma)$ is co-NP complete

. **Partial answers:**

- ▶ the conjecture holds if we only consider queries with 2 atoms containing 2 distinct relation symbols (Kolaitis, Pema)
- ▶ a dichotomy holds if we consider **counting** consistent query answering for acyclic self join free (Maslowski, Wijsen)

Aim

Study the dichotomy conjecture when Σ is a set of GAV constraints and q is a union of conjunctive queries

Aim

Study the dichotomy conjecture when Σ is a set of GAV constraints and q is a union of conjunctive queries

- ▶ a **GAV** constraint is a formula of the form

$$\forall \mathbf{x}(R_1(\mathbf{x}_1) \wedge \cdots \wedge R_n(\mathbf{x}_n)) \rightarrow R(\mathbf{y}))$$

where $\mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{y}$ occur in \mathbf{x}

Aim

Study the dichotomy conjecture when Σ is a set of GAV constraints and q is a union of conjunctive queries

- ▶ a **GAV** constraint is a formula of the form

$$\forall \mathbf{x}(R_1(\mathbf{x}_1) \wedge \cdots \wedge R_n(\mathbf{x}_n)) \rightarrow R(\mathbf{y}))$$

where $\mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{y}$ occur in \mathbf{x}

Aim

Study the dichotomy conjecture when Σ is a set of GAV constraints and q is a union of conjunctive queries

- ▶ a **GAV** constraint is a formula of the form

$$\forall \mathbf{x}(R_1(\mathbf{x}_1) \wedge \cdots \wedge R_n(\mathbf{x}_n)) \rightarrow R(\mathbf{y})$$

where $\mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{y}$ occur in \mathbf{x}

- ▶ a **union of conjunctive queries** is a FO formula built from \wedge , \vee and \exists

CAQ for GAV constraints and UCQ

Fix a set Σ of GAV constraints and a UCQ q .

Complexity result: $CQA(q, \Sigma)$ is in co-NP (Staworko; ten Cate, F., Kolaitis)

Conjecture:

- ▶ either $CQA(q, \Sigma)$ is polynomial,
- ▶ or $CQA(q, \Sigma)$ is co-NP complete

Strategy



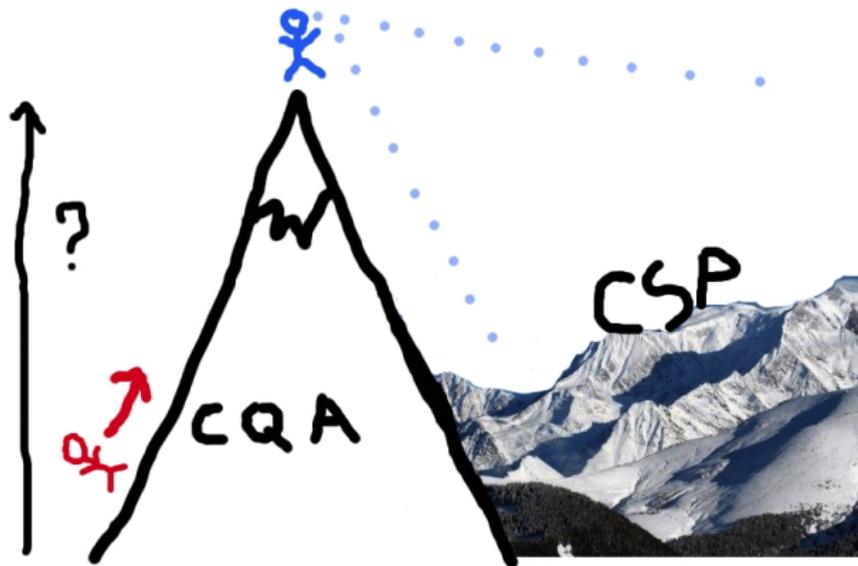
Strategy



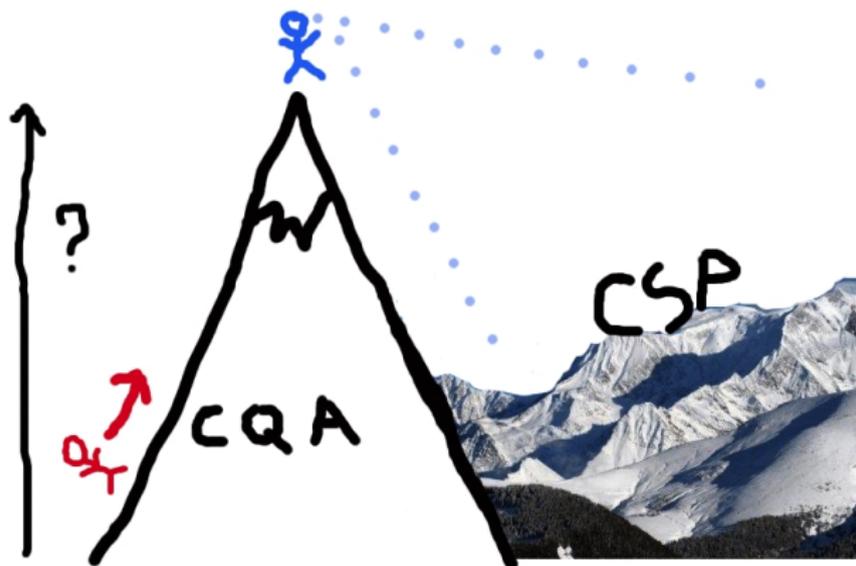
Strategy



Strategy

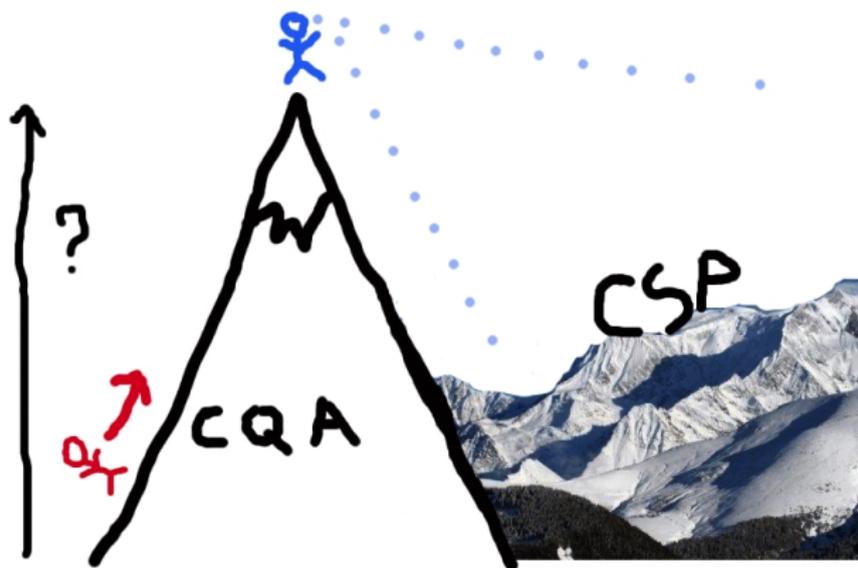


Strategy



Thm: a dichotomy for consistent query answering w.r.t GAV constraints and UCQs implies a dichotomy for CSP

Strategy



Thm: a dichotomy for consistent query answering w.r.t GAV constraints and UCQs implies a dichotomy for CSP

Consequence: our result gives insight why investigating dichotomy for consistent query answering has been so difficult

Structure of the proof

Thm: a dichotomy for consistent query answering w.r.t GAV constraints and UCQ implies a dichotomy for CSP

Fix a structure B .

- ▶ **Step 1:** show that proving a dichotomy for $CSP(B)$ is equivalent to prove a dichotomy for $CSP^*(B)$, a variant of CSP (Bulatov)
- ▶ **Step 2:** construct a UCQ q and a set of GAV constraints Σ such that $CSP^*(B)$ and the complement of $CQA(q, \Sigma)$ are polynomially inter-reducible.

Step 1

Fix a structure B . $CSP^*(B)$ is the following problem:

- ▶ input: a structure A and a partial map $f : A \rightarrow B$
- ▶ goal: decide if there is a (total) homomorphism $g : A \rightarrow B$ extending f

Step 1

Fix a structure B . $CSP^*(B)$ is the following problem:

- ▶ input: a structure A and a partial map $f : A \rightarrow B$
- ▶ goal: decide if there is a (total) homomorphism $g : A \rightarrow B$ extending f

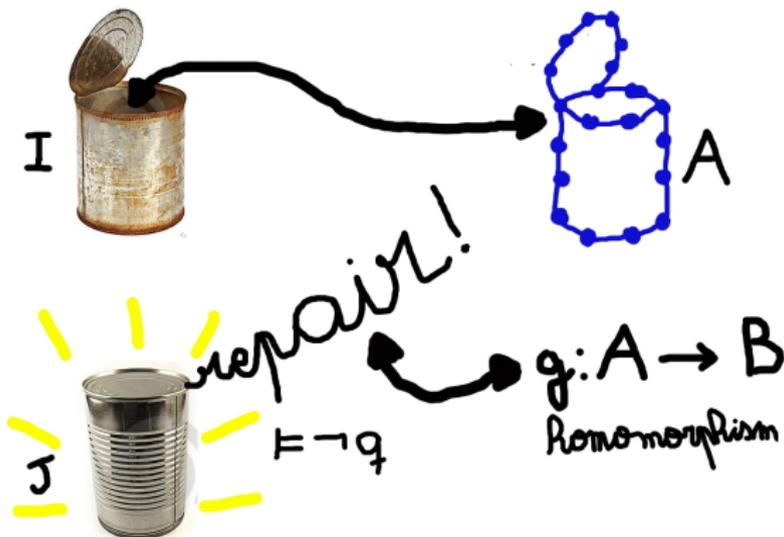
Bulatov: Proving a dichotomy for CSP is equivalent to proving a dichotomy for CSP^*

Step 2

Fix a graph B . Find q and Σ s.t. $CSP^*(B)$ and the complement of $CQA(q, \Sigma)$ are polynomially inter-reducible.

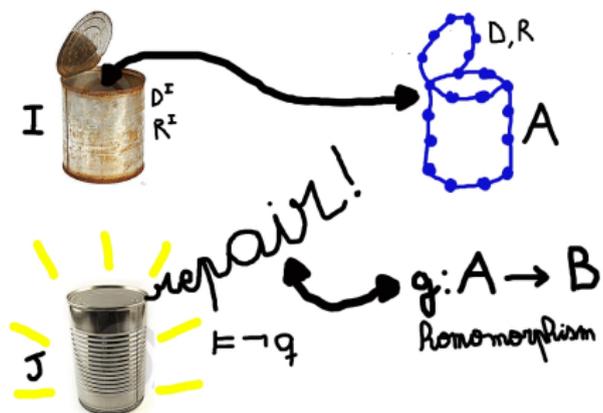
Step 2

Fix a graph B . Find q and Σ s.t. $CSP^*(B)$ and the complement of $CQA(q, \Sigma)$ are polynomially inter-reducible.



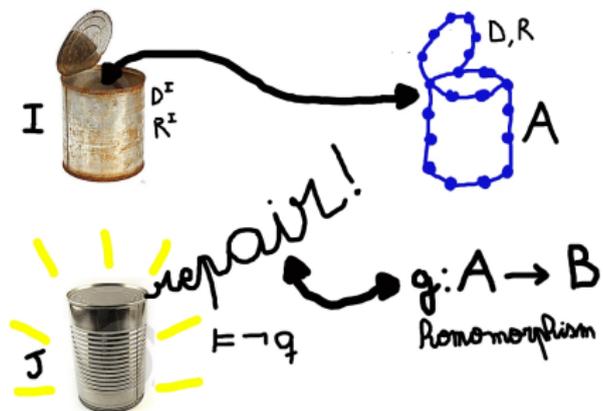
- ▶ How to encode g ?
- ▶ How to ensure g is an homomorphism?
- ▶ How to ensure g is total?

Step 2



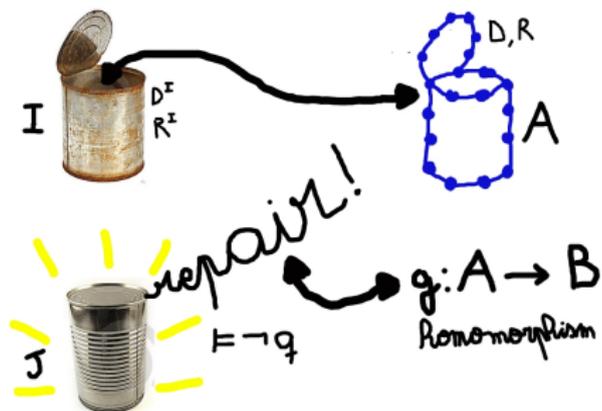
- ▶ How to encode g ? introduce a formula $\phi_b(x) (b \in B)$
 - ▶ $g(x) = b$ iff $J \models \phi_b(x)$

Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure g is an homomorphism?

Step 2

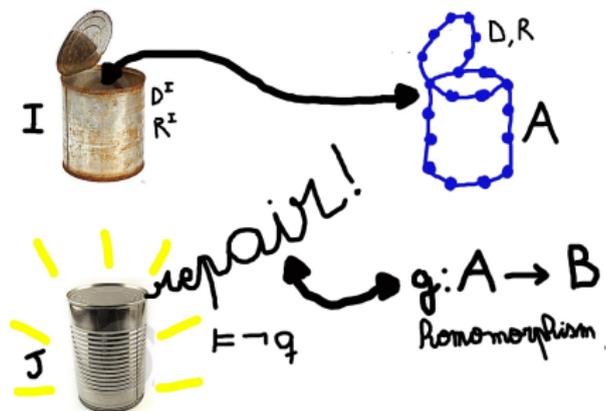


- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure g is an homomorphism?
 - ▶ if $(b, c) \notin R^B$, add the constraint

$$\varphi_b(x) \wedge \varphi_c(y) \rightarrow C_R(x, y)$$

where C_R encodes a subset of the complement of R

Step 2



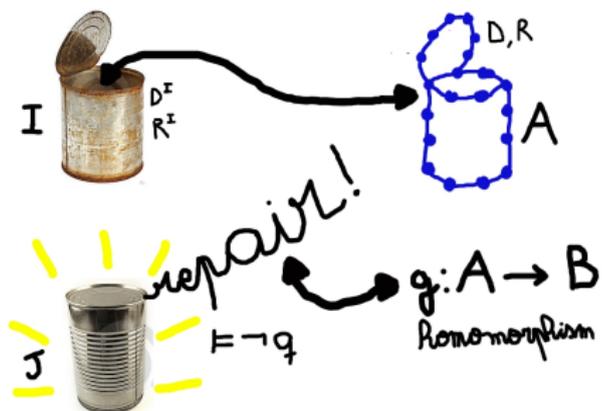
- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure g is an homomorphism?
 - ▶ if $(b, c) \notin R^B$, add the constraint

$$\varphi_b(x) \wedge \varphi_c(y) \rightarrow C_R(x, y)$$

where C_R encodes a subset of the complement of R

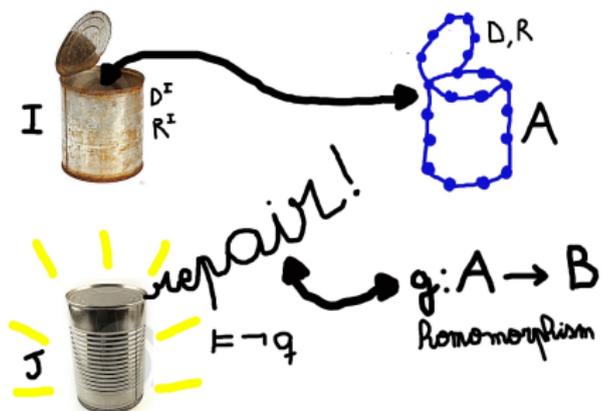
- ▶ add the following formula as a conjunct of $\neg q$
$$\neg \exists x, y (R(x, y) \wedge C_R(x, y))$$

Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure that g is total, i.e. $\text{dom}(g) = D'$?
 $\text{dom}(g) = \{x : J \models \phi_b(x) \text{ for some } b \in B\}$

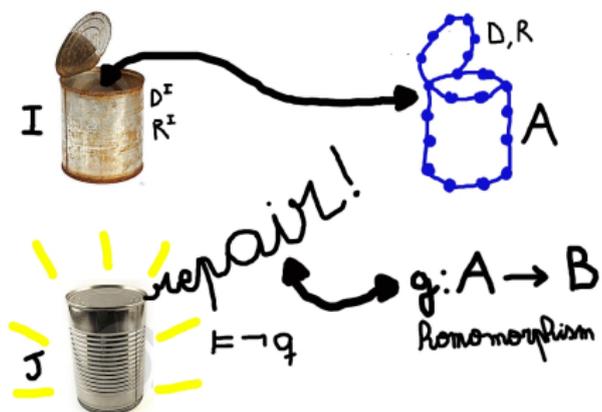
Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure that g is total, i.e. $\text{dom}(g) = D^I$?
 $\text{dom}(g) = \{x : J \models \phi_b(x) \text{ for some } b \in B\}$
 - ▶ ensure $D^J = D^I \setminus \text{dom}(g)$

 - ▶ ensure $D^J = \emptyset$

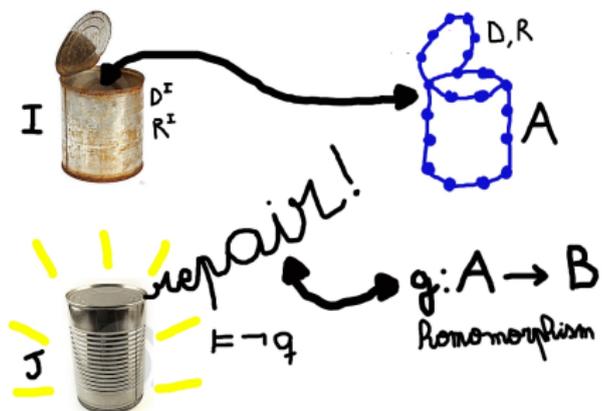
Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \varphi_b(x)$
- ▶ How to ensure that g is total, i.e. $dom(g) = D^I$?
 $dom(g) = \{x : J \models \phi_b(x) \text{ for some } b \in B\}$
 - ▶ ensure $D^J = D^I \setminus dom(g)$

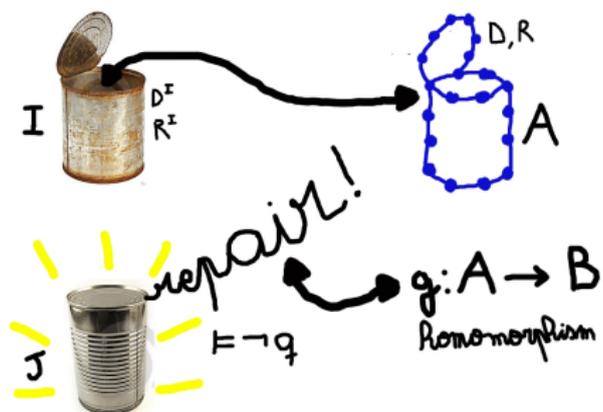
 - ▶ ensure $D^J = \emptyset$
 - ▶ add $\neg \exists y D(y)$ as a conjunct of $\neg q$

Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \phi_b(x)$
- ▶ How to ensure that g is total, i.e. $dom(g) = D^I$?
 $dom(g) = \{x : J \models \phi_b(x) \text{ for some } b \in B\}$
 - ▶ ensure $D^J = D^I \setminus dom(g)$
 - ▶ add the constraints $D(x) \wedge \phi_b(x) \rightarrow E(x)$, where $E^J = \emptyset$
 - ▶ ensure $D^J = \emptyset$
 - ▶ add $\neg \exists y D(y)$ as a conjunct of $\neg q$

Step 2



- ▶ How to encode g ? $g(x) = b$ iff $J \models \phi_b(x)$
- ▶ How to ensure that g is total, i.e. $dom(g) = D^J$?
 $dom(g) = \{x : J \models \phi_b(x) \text{ for some } b \in B\}$
 - ▶ ensure $D^J = D^I \setminus dom(g)$
 - ▶ add the constraints $D(x) \wedge \phi_b(x) \rightarrow E(x)$, where $E^J = \emptyset$
 - ▶ how to ensure $E^J = \emptyset$? add $\neg \exists y E(y)$ as a conjunct of $\neg q$
 - ▶ ensure $D^J = \emptyset$
 - ▶ add $\neg \exists y D(y)$ as a conjunct of $\neg q$

Summary of step 2

Constraints:

- ▶ if there is no edge from b to c in B ,

$$\varphi_b(x) \wedge \varphi_c(y) \rightarrow C_R(x, y)$$

- ▶ if $b \in B$, $\varphi_b(x) \wedge D(x) \rightarrow E(x)$

Summary of step 2

Constraints:

- ▶ if there is no edge from b to c in B ,

$$\varphi_b(x) \wedge \varphi_c(y) \rightarrow C_R(x, y)$$

- ▶ if $b \in B$, $\varphi_b(x) \wedge D(x) \rightarrow E(x)$

Query $\neg q$:

$$\begin{aligned} &\neg \exists x E(x) \wedge \\ &\neg \exists x D(x) \wedge \\ &\neg \exists x, y (R(x, y) \wedge C_R(x, y)) \end{aligned}$$

Other results

- ▶ a dichotomy for consistent query answering w.r.t. **egds and UCQs** implies a dichotomy for list-CSP
- ▶ a dichotomy for consistent query answering w.r.t. **key constraints and non-boolean UCQs** implies a dichotomy for list-CSP

Other results

- ▶ a dichotomy for consistent query answering w.r.t. **egds and UCQs** implies a dichotomy for list-CSP
- ▶ a dichotomy for consistent query answering w.r.t. **key constraints and non-boolean UCQs** implies a dichotomy for list-CSP

Fix a structure B . **List-CSP** is the following problem:

- ▶ input: a structure A and for all $a \in A$, a subset L_a of B
- ▶ goal: find an homomorphism $f : A \rightarrow B$ s.t. $f(a) \in L_a$ for all $a \in A$
- ▶ there is a dichotomy for list-CSP, but the proof is extremely complicated (Bulatov)

Further work

- ▶ find a similar results for different classes of queries and constraints
- ▶ show the other direction: a dichotomy for CSP implies a dichotomy for consistent query answering