Energy Parity Games*

Krishnendu Chatterjee[1] and Laurent Doyen[2]

[1] IST Austria (Institute of Science and Technology Austria)
[2] LSV, ENS Cachan & CNRS, France

Abstract. Energy parity games are infinite two-player turn-based games played on weighted graphs. The objective of the game combines a (qualitative) parity condition with the (quantitative) requirement that the sum of the weights (i.e., the level of energy in the game) must remain positive. Beside their own interest in the design and synthesis of resource-constrained omega-regular specifications, energy parity games provide one of the simplest model of games with combined qualitative and quantitative objectives. Our main results are as follows: (a) exponential memory is sufficient and may be necessary for winning strategies in energy parity games; (b) the problem of deciding the winner in energy parity games can be solved in NP ∩ coNP; and (c) we give an algorithm to solve energy parity by reduction to energy games. We also show that the problem of deciding the winner in energy parity games is logspace-equivalent to the problem of deciding the winner in mean-payoff parity games, which can thus be solved in NP ∩ coNP. As a consequence we also obtain a conceptually simple algorithm to solve mean-payoff parity games.

Keywords: *Games on graphs; Parity objectives; Quantitative objectives.*

1 Introduction

Two-player games on graphs are central in many applications of computer science. For example, in the synthesis problem implementations are obtained from winning strategies in games with a qualitative objective such as ω-regular specifications [20, 19, 1]. Games also provide a theoretical instrument to deal with logics and automata [7, 16, 13, 15]. In all these applications, the games have a qualitative (boolean) objective that determines which player wins. On the other hand, games with quantitative objective which are natural models in economics (where players have to optimize a real-valued payoff) have also been studied in the context of automated design and synthesis [22, 11, 25]. In the recent past,

* This is an improved version of a paper that appeared in the *Proceedings of the 37th International Colloquium on Automata, Languages and Programming* (ICALP), Lecture Notes in Computer Science 6199, Springer-Verlag, 2010, pages 599-610. The present version contains detailed proofs, and improved memory and algorithmic complexity bounds.

there has been considerable interest in the design of reactive systems that work in resource-constrained environments (such as embedded systems). The specifications for such reactive systems have both a quantitative component (specifying the resource constraint such as limited power consumption) and a qualitative component (specifying the functional requirement). The desired reactive system must respect both the qualitative and quantitative specifications. Only recently objectives combining both qualitative and quantitative specifications have been considered [8, 10, 3].

In this paper, we consider two-player turn-based games played for infinitely many rounds on a weighted graph where a *priority* is associated to each state and an integer *weight* (encoded in binary) is associated to each edge. In each round, the player owning the current state chooses an outgoing edge to a successor state, thus the game results in an infinite play. The qualitative specification is a *parity* condition, a canonical way to express the ω-regular objectives [23]. A play satisfies the parity condition if the least priority occurring infinitely often in the play is even. The quantitative specification is an *energy* condition which requires that the sum of the weights along the play (that we interpret as the level of energy, or resource usage) remains always positive. Energy parity games can be viewed as games played on one-counter automata with fairness condition. The main algorithmic question about energy parity games is to decide if there exists an initial credit (or initial energy level) such that one player has a strategy to maintain the level of energy positive while satisfying the parity condition, and if the answer is yes, to compute the minimum such initial credit and a winning strategy.

Energy parity games generalize both parity games and energy games. It is known that memoryless strategies are sufficient to win parity games [12] and energy games [8, 4], and therefore the problem of deciding the winner of a parity game, and the problem of deciding the existence of an initial credit sufficient to win an energy game are both in NP $\cap$ coNP. It is a long standing open question to know if these problems can be solved in polynomial time. In this paper, we present the following results about energy parity games: (1) we study the complexity of winning strategies and we give bounds on the amount of memory needed to win; (2) we establish the computational complexity of the problem of deciding the winner; (3) we present an algorithmic solution to compute the minimum initial credit; and (4) we show polynomial equivalence with mean-payoff parity games. The details of our contributions are as follows.

1. *Strategy complexity.* First, we show that finite-memory strategies are sufficient to win energy parity games, but exponential memory may be required even in the special case of one-player games. We present an exponential memory upper bound for the winning strategies. Our memory bound is $n \cdot d \cdot W$, where n is the size of the state space, d is the number of priorities, and W is the maximum absolute value of the weights. This bound is exponential since W can be encoded in $\log W$ bits, but polynomial in n and d. We show that the spoiling strategies of the opponent need no memory at all (memoryless spoiling strategies exist).

2. *Computational complexity.* Second, we show that the decision problem for energy parity games lie in NP ∩ coNP, matching the bounds known for the simpler case of parity and energy games. The classical NP ∩ coNP result for parity and energy games crucially relies on the existence of memoryless winning strategies. In the case of energy parity games, the existence of memoryless spoiling strategies gives the coNP upper bound. However, and in contrast with parity games and energy games, winning strategies may require exponential memory in energy parity games. Therefore, more subtle arguments are needed to obtain the NP upper bound: we show that the winning strategies (that require exponential memory) can be characterized with certain special structures and decomposed into two memoryless strategies (roughly, one to ensure the parity condition, and the other to maintain the energy level positive). This insight allows us to derive a nondeterministic polynomial-time algorithm to solve energy parity games. Thus the problem of deciding the existence of an initial credit which is sufficient to win an energy parity game is (perhaps surprisingly) in NP ∩ coNP. Finding a deterministic polynomial algorithm for this problem is obviously open.
3. *Algorithm.* Third, we present an algorithm to solve energy parity games with complexity exponential in the number of states n (as for parity games), and linear in the largest weight W (as for energy games). This algorithm relies on our analysis of the structure of winning strategies, and reduces to iteratively solving reachability games and energy games.
4. *Equivalence with mean-payoff parity games.* Finally, we show that energy parity games are polynomially equivalent to mean-payoff parity games [10], where the parity condition is combined with the quantitative requirement that the limit-average (or mean-payoff) of the weights remains positive. Again, this result is surprising because in mean-payoff parity games, optimal strategies (that realize the largest possible mean-payoff value while satisfying the parity condition) may require infinite memory. Moreover, we get as a corollary of our results that the problem of deciding the winner in mean-payoff parity games is also in NP ∩ coNP. Our algorithm for energy parity games can be used to solve mean-payoff parity games with essentially the same complexity as in [10], but with a conceptually simpler approach.

Related works. A recent work [5] revisits mean-payoff parity games as a model to study permissiveness and robustness in parity games, and presents an algorithm for mean-payoff parity games similar to the algorithm we present for energy parity games. Energy parity games can be reduced to one-counter parity games [21], where the counter can be incremented and decremented only by 1 (i.e., the weights are in $\{-1, 0, 1\}$). Since the weights in energy parity games are encoded succinctly in binary, the reduction is exponential. It was shown that exponential memory is sufficient in one-counter parity games, and that they can be solved in PSPACE and are DP-hard [21], showing that one-counter parity games are more general. The exponential reduction and results on one-counter parity games would give an EXPSPACE upper bound for the problem, and a

double exponential ($2^{n \cdot d \cdot W}$) upper bound on memory, whereas we show that the problem is in NP $\cap$ coNP, and exponential memory is sufficient.

2 Definitions

Game graphs. A *game graph* $G = \langle Q, E \rangle$ consists of a finite set Q of states partitioned into player-1 states Q_1 and player-2 states Q_2 (i.e., $Q = Q_1 \cup Q_2$), and a set $E \subseteq Q \times Q$ of edges such that for all $q \in Q$, there exists (at least one) $q' \in Q$ such that $(q, q') \in E$. A *player*-1 *game* is a game graph where $Q_1 = Q$ and $Q_2 = \emptyset$. The subgraph of G induced by $S \subseteq Q$ is the graph $\langle S, E \cap (S \times S) \rangle$ (which is not a game graph in general); the subgraph induced by S is a game graph if for all $s \in S$ there exist $s' \in S$ such that $(s, s') \in E$.

Plays and strategies. A game on G starting from a state $q_0 \in Q$ is played in rounds as follows. If the game is in a player-1 state, then player 1 chooses the successor state from the set of outgoing edges; otherwise the game is in a player-2 state, and player 2 chooses the successor state. The game results in a *play* from q_0, i.e., an infinite path $\rho = q_0 q_1 \dots$ such that $(q_i, q_{i+1}) \in E$ for all $i \geq 0$. The prefix of length n of ρ is denoted by $\rho(n) = q_0 \dots q_n$. We denote by $\mathsf{Plays}(G)$ the set of all plays in G.

The *cycle decomposition* of ρ is an infinite sequence of simple cycles $C_1, C_2, \dots$ obtained as follows: push successively $q_0, q_1, \dots$ onto a stack, and whenever we push a state already in the stack, a simple cycle is formed that we remove from the stack and append to the cycle decomposition. Note that the stack content is always a prefix of a path of length at most $|Q|$.

A *strategy* for player 1 is a function $\sigma : Q^* Q_1 \to Q$ such that $(q, \sigma(\rho \cdot q)) \in E$ for all $q \in Q_1$ and all $\rho \in Q^*$. An *outcome* of σ from q_0 is a play $q_0 q_1 \dots$ such that $\sigma(q_0 \dots q_i) = q_{i+1}$ for all $i \geq 0$ such that $q_i \in Q_1$. Strategy and outcome for player 2 are defined analogously.

Finite-memory strategies. A strategy uses *finite-memory* if it can be encoded by a deterministic transducer $\langle M, m_0, \alpha_u, \alpha_n \rangle$ where M is a finite set (the memory of the strategy), $m_0 \in M$ is the initial memory value, $\alpha_u : M \times Q \to M$ is an update function, and $\alpha_n : M \times Q_1 \to Q$ is a next-move function. The *size* of the strategy is the number $|M|$ of memory values. If the game is in a player-1 state q and m is the current memory value, then the strategy chooses $q' = \alpha_n(m, q)$ as the next state and the memory is updated to $\alpha_u(m, q)$. Formally, $\langle M, m_0, \alpha_u, \alpha_n \rangle$ defines the strategy α such that $\alpha(\rho \cdot q) = \alpha_n(\hat{\alpha}_u(m_0, \rho), q)$ for all $\rho \in Q^*$ and $q \in Q_1$, where $\hat{\alpha}_u$ extends α_u to sequences of states as expected. A strategy is *memoryless* if $|M| = 1$. For a finite-memory strategy σ, let G_σ be the graph obtained as the product of G with the transducer defining σ, where $(\langle m, q \rangle, \langle m', q' \rangle)$ is a transition in G_σ if $m' = \alpha_u(m, q)$ and either $q \in Q_1$ and $q' = \alpha_n(m, q)$, or $q \in Q_2$ and $(q, q') \in E$. In G_σ, the expression *reachable from* q stands for *reachable from* $\langle q, m_0 \rangle$.

Objectives. An *objective* for G is a set $\phi \subseteq Q^\omega$. Let $p : Q \to \mathbb{N}$ be a *priority function* and $w : E \to \mathbb{Z}$ be a *weight function*[3] where positive numbers represent rewards, and negative numbers represent costs. We denote by W the largest weight of an edge (in absolute value) according to w. The *energy level* of a prefix $\gamma = q_0 q_1 \dots q_n$ of a play is $\mathsf{EL}(w, \gamma) = \sum_{i=0}^{n-1} w(q_i, q_{i+1})$, and the *mean-payoff value* of a play $\rho = q_0 q_1 \dots$ is $\mathsf{MP}(w, \rho) = \liminf_{n\to\infty} \frac{1}{n} \cdot \mathsf{EL}(w, \rho(n))$. In the sequel, when the weight function w is clear from context we will omit it and simply write $\mathsf{EL}(\gamma)$ and $\mathsf{MP}(\rho)$. We denote by $\mathsf{Inf}(\rho)$ the set of states that occur infinitely often in ρ. We consider the following objectives:

- *Parity objectives.* The *parity* objective $\mathsf{Parity}_G(p) = \{\rho \in \mathsf{Plays}(G) \mid \min\{p(q) \mid q \in \mathsf{Inf}(\rho)\} \text{ is even } \}$ requires that the minimum priority visited infinitely often be even. The special cases of *Büchi* and *coBüchi* objectives correspond to the case with two priorities, $p : Q \to \{0, 1\}$ and $p : Q \to \{1, 2\}$ respectively.
- *Energy objectives.* Given an initial credit $c_0 \in \mathbb{N} \cup \{\infty\}$, the *energy* objective $\mathsf{PosEnergy}_G(c_0) = \{\rho \in \mathsf{Plays}(G) \mid \forall n \geq 0 : c_0 + \mathsf{EL}(\rho(n)) \geq 0\}$ requires that the energy level be always positive.
- *Mean-payoff objectives.* Given a threshold $\nu \in \mathbb{Q}$, the *mean-payoff* objective $\mathsf{MeanPayoff}_G(\nu) = \{\rho \in \mathsf{Plays}(G) \mid \mathsf{MP}(\rho) \geq \nu\}$ requires that the mean-payoff value be at least ν.
- *Combined objectives.* The *energy parity* objective $\mathsf{Parity}_G(p) \cap \mathsf{PosEnergy}_G(c_0)$ and the *mean-payoff parity* objective $\mathsf{Parity}_G(p) \cap \mathsf{MeanPayoff}_G(\nu)$ combine the requirements of parity and energy (resp., mean-payoff) objectives.

When the game G is clear from the context, we omit the subscript in objective names. Note that parity objectives are *prefix-independent*, i.e. for all plays ρ and ρ' such that $\rho' = \gamma \cdot \rho$ where γ is a finite prefix, we have $\rho \in \mathsf{Parity}(p)$ if and only if $\rho' \in \mathsf{Parity}(p)$.

In the sequel, we assume that mean-payoff objectives are defined with threshold $\nu = 0$. This is not restrictive because $\mathsf{MP}(w, \rho) \geq \nu$ if and only if $\mathsf{MP}(w - \nu, \rho) \geq 0$, where $w - \nu$ is the weight function that assigns $w(e) - \nu$ to each edge $e \in E$.

Winning strategies. A player-1 strategy σ is *winning*[4] in a state q for an objective ϕ if $\rho \in \phi$ for all outcomes ρ of σ from q. For energy and energy parity objectives with unspecified initial credit, we also say that a strategy is winning if it is winning for some finite initial credit.

Finite and minimum initial credit problems. We are interested in the following decision problem. The *finite initial credit problem* (initial credit problem for short) asks, given an energy parity game $\langle G, p, w\rangle$ and a state q, whether there exists a finite initial credit $c_0 \in \mathbb{N}$ and a winning strategy for player 1 from q with initial credit c_0. The *minimum initial credit* in a state $q \in Q$ is the

[3] In some proofs, we take the freedom to use rational weights (i.e., $w : E \to \mathbb{Q}$), while we always assume that weights are integers encoded in binary for complexity results.

[4] We also say that player-1 is winning, or that q is a winning state.

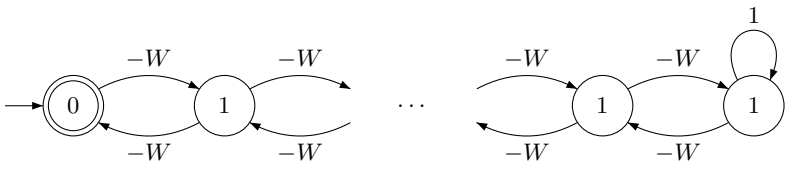

Fig. 1. A family of 1-player energy parity games where player 1 needs memory of size $2 \cdot (n-1) \cdot W$ and initial credit $(n-1) \cdot W$. Edges are labeled by weights, states by priorities.

least value of initial credit for which there exists a winning strategy for player 1 in q. A strategy for player 1 is *optimal* in a state q if it is winning from q with the minimum initial credit.

It is known that the initial credit problem for simple energy games can be solved in NP $\cap$ coNP because memoryless strategies are sufficient to win such games [8, 4]. For winning states of energy games, an initial credit of $(|Q|-1) \cdot W$ is always sufficient to win. For parity games, memoryless strategies are also sufficient to win and the associated decision problem also lies in NP $\cap$ coNP [12]. Moreover, energy games and parity games are determined, which implies that from states that are not winning for player 1, there exists a (memoryless) spoiling strategy for player 2 which is winning for the complementary objective (note that the complement of a parity objective is again a parity objective). Moreover, for energy games, the same spoiling strategy can be used against all initial credit values.

3 Strategy Complexity of Energy Parity Games

In this section we show that in energy parity games with n states and d priorities, memory of size $n \cdot d \cdot W$ is sufficient for a winning strategy of player 1. This amount of memory is exponential (because weights are encoded in binary) and we show that exponential memory is already necessary in the special case of player-1 games with two priorities where memory of size $2 \cdot (n-1) \cdot W + 1$ may be necessary (and is always sufficient). For player 2, we show that memoryless winning strategies exist. Moreover, if player 1 wins, then the minimum initial credit is always at most $(n-1) \cdot W$.

Lemma 1. *Let G be a player-1 energy parity game with n states. If player 1 wins in G from a state q_0, then player 1 has a winning strategy from q_0 with memory of size $2 \cdot (n-1) \cdot W + 1$ and initial credit $(n-1) \cdot W$.*

Proof. Since G is a player-1 energy parity game, we have $Q_1 = Q$ and $Q_2 = \emptyset$. Consider an outcome ρ of an optimal strategy for player 1 in G. Note that the minimal priority of the states in $\mathsf{Inf}(\rho)$ is even, that $\mathsf{Inf}(\rho)$ is strongly connected,

and that there exists a suffix ρ' of ρ that only contains states in $\mathsf{Inf}(\rho)$. Let $C_1, C_2, \ldots$ be the cycle decomposition of ρ'. We consider two cases.

First, if $\mathsf{EL}(C_i) > 0$ for some cycle C_i, then we construct a winning strategy for player 1 as follows. From the starting state, reach a state of C_i and go through C_i once. This can be done with initial credit $(n-1) \cdot W$. Now, pump the cycle to get the energy level above $2 \cdot (n-1) \cdot W$, and then reach a state of $\mathsf{Inf}(\rho)$ with minimal priority (this consumes at most $(n-1) \cdot W$ units of energy) and go back to the cycle (which also consumes at most $(n-1) \cdot W$ units of energy). Hence, at this point the energy level is still positive, and we can iterate (i) pumping the positive cycle, (ii) reach the minimal even priority, and (iii) go back to the cycle. This defines a winning strategy with memory of size $2 \cdot (n-1) \cdot W + 1$ and initial credit $(n-1) \cdot W$.

Second, if $\mathsf{EL}(C_i) \leq 0$ for all cycles C_i $(i \geq 1)$, then it is easy to see that there exists $k \geq 1$ such that $\mathsf{EL}(C_j) = 0$ for all $j \geq k$. Since the parity condition is satisfied in ρ, the minimal priority of the states in $\mathsf{Inf}(\rho)$ is visited by some cycle C_j $(j \geq k)$. We construct a winning strategy for player 1 as follows. From the starting state, reach a state of C_j and go through C_j forever. This can be done with initial credit $(n-1) \cdot W$ and it is clearly a winning strategy.

In both cases, player 1 wins with memory of size $2 \cdot (n-1) \cdot W + 1$ and initial credit $(n-1) \cdot W$. □

Example 1 (Memory requirement). We present a family of player-1 games where memory of size $2 \cdot (n-1) \cdot W + 1$ may be necessary. The example is shown in Fig. 1, and it also shows that initial credit of $(n-1) \cdot W$ may be necessary. To satisfy the parity condition, the play has to visit the initial state infinitely often, and to maintain the energy positive, the play has to visit the state with the positive-weighted self-loop. Since the paths between these two state have weight $-(n-1) \cdot W$, it is easy to see that initial credit $(n-1) \cdot W$ is necessary, and the self-loop has to be taken $M = 2 \cdot (n-1) \cdot W$ times requiring memory of size $M + 1$. □

We state the next lemma because it is useful in several proofs, though its argument is fairly easy.

Lemma 2. *Let G be an energy parity game, and for each winning state q let $v(q) \in \mathbb{N}$ be the minimum initial credit in q. For all outcomes ρ of an optimal strategy σ in G from a winning state q_0, if the initial credit is $v(q_0) + \Delta$ for $\Delta \geq 0$, then the energy level at all positions of ρ where a state q occurs is at least $v(q) + \Delta$.*

Proof. It is easy to see that for all outcomes ρ of σ in G, the energy level at all positions of ρ where q occurs must be at least $v(q)$ (otherwise if a q-position has energy level below $v(q)$, then player 2 can win from that position, and therefore wins in the original game in contradiction with optimality of σ). Hence, since strategies are functions of sequence of states only (and not of their energy level), if we start with energy level $v(q_0) + \Delta$, then the energy level at all positions of an outcome of σ is greater by Δ than if we had started with energy level $v(q_0)$.

In particular, for all positions where q occurs in an outcome of σ, the energy level is at least $v(q) + \Delta$. □

We show that player 2 needs no memory at all in energy parity games. Note that energy objectives are not prefix-independent objectives and the following lemma does not directly follow from the results of [17]. However our proof, which is based on induction on edges, is an adaptation of the proof technique of [17, 14]. This result is useful to show that energy parity games are in coNP.

Lemma 3. *For all energy parity games G, memoryless strategies are sufficient for player 2 (i.e., the minimum initial credit for player 1 does not change if player 2 is restricted to play memoryless).*

Proof. Without loss of generality, we assume that every player-2 state has two outgoing edges. The proof is by induction on the number of player-2 states. If $|Q_2| = 0$, then the result is trivial. Assume that the result holds for all energy parity games with $|Q_2| < k$ and let G be an energy parity games with $|Q_2| = k$.

Consider some player-2 state $\hat{q}$ with outgoing edges $e_l = (\hat{q}, q_l)$ and $e_r = (\hat{q}, q_r)$. Let G_l and G_r be the game graphs obtained from G by removing the edges e_r and e_l respectively. By the induction hypothesis, memoryless strategies are sufficient for player 2 in G_l and G_r. For each $q \in Q$, let $v_l(q)$ and $v_r(q)$ be the minimal initial credit for player 1 from q in G_l and G_r respectively, and let σ_l and σ_r be corresponding optimal strategies for player 1. Assume without loss of generality that $v_l(\hat{q}) \geq v_r(\hat{q})$.

First, we show that for all $q \in Q$ the initial credit $v_l(q)$ in q is sufficient to win in G_r, i.e., $v_l(q) \geq v_r(q)$ $(\star)$. To obtain this, we play in G_r from q as would play an optimal strategy in G_l and if we reach $\hat{q}$, then we play an optimal strategy starting from $\hat{q}$ in G_r. Consider an outcome $\rho \in Q^\omega$ of this strategy. Either ρ never visits $\hat{q}$ and then the initial credit $v_l(q)$ is clearly sufficient to win, or ρ eventually visits $\hat{q}$ once and then the energy level is at least $v_l(\hat{q}) \geq v_r(\hat{q})$ by Lemma 2 in G_l (since we played as in G_l so far). Since from there on we play as in G_r, the energy level of ρ never drops below 0, and the parity condition (in the whole play) is satisfied since it is satisfied in a suffix, and parity is a prefix-independent objective.

Second, we construct a strategy σ_{lr} for player 1 in G that wins with initial credit $\max\{v_l(q), v_r(q)\}$ from every $q \in Q$, establishing the result. Given a prefix $\tau \in Q^*Q_1$, if $\hat{q}$ does not occur in τ, then the strategy plays as in G_l, i.e., $\sigma_{lr}(\tau) = \sigma_l(\tau)$. If $\hat{q}$ occurs in τ, then we decompose τ into segments as follows: a finite prefix before the first visit to $\hat{q}$, then a (possibly empty) sequence of cycles over $\hat{q}$ (these cycles are not necessarily simple, but they do not contain nested cycles over $\hat{q}$), and then a (possibly empty) finite suffix after the last visit to $\hat{q}$. We label the cycles and the suffix with l if e_l was taken from $\hat{q}$, and with r if e_r was taken. If the last segment in τ is labeled by $d \in \{l, r\}$, then the strategy for player 1 in G plays as the optimal strategy in G_d applied to the finite play prefix τ_d obtained from τ by taking out the finite prefix and all segments not labeled by d, i.e. $\sigma_{lr}(\tau) = \sigma_d(\tau_d)$.

Now for all $q \in Q$, we show that σ_{lr} is winning in G from q with initial credit $v(q) = \max\{v_l(q), v_r(q)\}$, i.e., we show that $v(q) = v_l(q)$ (by $(\star)$). Note that if $v_l(q) = \infty$ (or $v_r(q) = \infty$), then clearly $v(q) = \infty$ against player 2 playing as in G_l (or G_r). So, we assume that $v_l(q)$ and $v_r(q)$ are finite. Let ρ be an outcome of the strategy σ_{lr}. If ρ never visits $\hat{q}$, then σ_{lr} has played as σ_l and the initial credit $v_l(q)$ is sufficient to win. If ρ visits $\hat{q}$, then we decompose ρ into segments as above (there may be no "suffix" if $\hat{q}$ is visited infinitely often) and we obtain ρ_d for $d \in \{l, r\}$ by removing from ρ the prefix up to the first visit to $\hat{q}$, and all segments not labeled by d. Note that the initial state is $\hat{q}$ in both ρ_l and ρ_r. Since the initial credit in q is $v_l(q)$, we know that the energy level in the first visit to $\hat{q}$ in ρ is at least $v_l(\hat{q}) \geq v_r(\hat{q})$ (since σ_{lr} played as σ_l in G_l so far). By definition of σ_{lr}, we also know that ρ_l and ρ_r are outcomes of optimal strategies in G_l and G_r respectively. Therefore the energy level in every position of ρ_l and ρ_r where state $\hat{q}$ occurs is greater than the energy level in their initial position (using Lemma 2). We say that the *effect* of ρ_l and ρ_r on the energy level in $\hat{q}$ is nonnegative.

Therefore, if we consider the positions in ρ where $\hat{q}$ occurs, if the position is in a d-labeled segment ($d \in \{l, r\}$), then the energy level is at least the energy level in the corresponding position in ρ_d (because the effect on the energy level of the $\bar{d}$-labeled segments before that position is nonnegative - where $\bar{d} = l$ if $d = r$ and vice versa). Therefore, the energy level in ρ never drops below 0. Moreover, among ρ_l and ρ_r, those that are infinite satisfy the parity condition, so that ρ also satisfies the parity condition. Hence, ρ satisfies the energy parity condition. □

Finally, we give upper bounds on the memory and initial credit necessary for player 1 in energy parity games. The bounds are established using strategies of a special form that alternate between *good-for-energy* strategies and *attractor* strategies, defined as follows.

Good-for-energy strategy. A strategy σ for player 1 is *good-for-energy* in state q if for all outcomes $\rho = q_0 q_1 \dots$ of σ such that $q_0 = q$, for all cycles C in the cycle decomposition of ρ, either $\mathsf{EL}(C) > 0$, or $\mathsf{EL}(C) = 0$ and C is even (i.e., $\min\{p(q) \mid q \in C\}$ is even). A key result is to show the existence of good-for-energy strategies that are *memoryless*.

Lemma 4. *Let* Win *be the set of winning states for player 1 in an energy parity game. Then, there exists a memoryless strategy for player 1 which is good-for-energy in every state* $q \in \mathsf{Win}$.

Proof. First, the definition of good-for-energy strategy in a state q can be viewed as a winning strategy in a finite cycle-forming game from q where the game stops when a cycle C is formed, and the winner is determined by the sequence of states in C (and is independent of cyclic permutations). By the results of [2], both players have memoryless optimal strategies in this finite cycle-forming game.

Now, assume that player 1 wins an energy parity game from a state q. Towards contradiction, assume that player 1 has no good-for-energy strategy

from q. Then, player 2 would have a memoryless winning strategy in the finite cycle-forming game. Fix this strategy in the original energy parity game and then all cycles have either negative weight, or weight is zero and the least priority is odd (by contradiction, if some cycle is either positive, or with zero weight and least priority even, then the strategy of player 1 that reaches this cycle and loops through it would be winning in the cycle-forming game, contradicting that the strategy of player 2 is winning in the cycle-forming game). It follows that player 1 looses the energy parity game from q (no matter the value of the initial credit), a contradiction. Hence, player 1 has a memoryless good-for-energy strategy σ_q from q. Finally, to obtain a single good-for-energy strategy σ_{gfe}, fix a (total) order on the states: $q_1 < q_2 < \cdots < q_n$, and let $R(q_i)$ be the set of all states occurring in the outcomes of σ_{q_i}. Then $\sigma_{gfe}(q_i) = \sigma_{q_j}(q_i)$ where $j = \min\{k \mid q_i \in R(q_k)\}$. □

Attractor. The player-1 *attractor* of a given set $S \subseteq Q$ is the set of states from which player 1 can force to reach a state in S. It is defined as the limit $Attr_1(S)$ of the sequence $A_0 = S$, $A_{i+1} = A_i \cup \{q \in Q_1 \mid \exists (q, q') \in E : q' \in A_i\} \cup \{q \in Q_2 \mid \forall (q, q') \in E : q' \in A_i\}$ for all $i \geq 0$. The player-2 *attractor* $Attr_2(S)$ is defined symmetrically. It is well known that attractors can be computed in polynomial time. Note that for $i = 1, 2$, the subgraph of G induced by $Q \setminus Attr_i(S)$ is again a game graph (i.e., every state has an outgoing edge). And in energy parity games, the set Win_1 of winning states of player 1 is closed under $Attr_1(\cdot)$, i.e. $\mathsf{Win}_1 = Attr_i(\mathsf{Win}_1)$, because parity objectives are prefix-independent, and for the energy objective a finite initial credit of at most $|Q| \cdot W$ is sufficient to survive until Win_1 is reached (hence existence of a finite initial credit in the states of $Attr_i(\mathsf{Win}_1)$ follows from the existence of a finite initial credit in every state of Win_1). Analogously, the set $Q \setminus \mathsf{Win}_1$ of losing states of player 1 is closed under $Attr_2(\cdot)$. Hence the subgraph of G induced by Win_1 is a well-defined game graph.

In the special case of energy coBüchi games, we show that player 1 does not need any memory.

Lemma 5. *Memoryless strategies are sufficient for player* 1 *to win energy coBüchi games (i.e., the minimum initial credit for player* 1 *does not change if player* 1 *is restricted to play memoryless).*

Proof. Let $\langle G, p, w\rangle$ be an energy coBüchi game with $G = \langle Q, E\rangle$ (thus $p : Q \to \{1, 2\}$). Let $\mathsf{Win} \subseteq Q$ be the set of states from which player 1 wins in G. Note that the subgraph of G induced by Win is a game graph. The proof is by induction on the size of Win. For $|\mathsf{Win}| = 1$, the result of the lemma is trivial: player 1 wins with memoryless strategy and initial credit 0. By induction hypothesis, assume that for $|\mathsf{Win}| < k$, player 1 wins from every state in Win with memoryless strategy and initial credit $(|\mathsf{Win}| - 1) \cdot W$. Now consider the case $|\mathsf{Win}| = k$.

Let $\Omega_1 \subseteq Q$ be the player-2 attractor of priority-1 states (i.e., Ω_1 is the set of states from which player 2 can force to reach a state with priority 1). Consider the subgraph G' of G induced by $Q' = \mathsf{Win} \setminus \Omega_1$. We claim that player 1 has a (memoryless) winning strategy in the energy game $\langle G', w\rangle$ from some state

q'. We show this by contradiction. Assume that player 2 has a (memoryless) spoiling strategy π on $\mathsf{Win} \setminus \Omega_1$ in the energy game $\langle G', w\rangle$, and consider the (memoryless) extension of π to Ω_1 that enforces to reach priority-1 states. Let ρ be an outcome of this strategy. Either ρ visits Ω_1 (and also priority-1 states) infinitely often and thus violates the coBüchi condition, or ρ eventually stays in $\mathsf{Win} \setminus \Omega_1$ and violates the energy condition. This contradicts that player 1 wins in G from Win. Hence, the set of winning states for player 1 in the energy game $\langle G', w\rangle$ is nonempty, and player 1 is winning with a memoryless strategy (by properties of energy games). Note that since all states in G' have priority 2, this memoryless strategy is also winning for the energy coBüchi condition. Let Win' be the player-1 attractor of this winning set. Player 1 has a memoryless winning strategy σ_e from all states in Win', and properties of energy games show that an initial credit of $(|\mathsf{Win}'| - 1) \cdot W$ is sufficient.

Now, consider the subgraph G'' of G induced by $Q'' = \mathsf{Win} \setminus \mathsf{Win}'$. It is easy to see that player 1 wins everywhere in the energy coBüchi game $\langle G'', p, w\rangle$. Therefore by induction hypothesis, initial credit $(|Q''| - 1) \cdot W$ is sufficient. Since $|\mathsf{Win}'| + |Q''| = |\mathsf{Win}|$, player 1 can start in any state of Win with initial credit $(|\mathsf{Win}| - 1) \cdot W$ and while the game stays in G'', guarantee that the energy level is always at least $(|\mathsf{Win}'| - 1) \cdot W$, so that whenever the game leaves Q'', player 1 has enough credit to use the memoryless winning strategy σ_e on Win'. □

Lemma 6. *For all energy parity games G with n states and d priorities, if player 1 wins from a state q_0, then player 1 has a winning strategy from q_0 with memory of size $n \cdot d \cdot W$ and initial credit $(n-1) \cdot W$.*

Proof. We prove by induction a slightly stronger statement, namely that player 1 has a winning strategy with memory of size $n \cdot d \cdot W$, where $n = |Q|$, and such that all its outcomes with initial credit $x \geq (n-1) \cdot W$ have energy level always at least $x - (n-1) \cdot W$ (and this strategy is winning from every state where player 1 wins in G, thus including q_0).

For the case of $d = 1$ priority, either the priority is odd and all states are losing for player 1 (hence, the result holds trivially), or the priority is even and the energy parity game reduces to an energy game which can be won by player 1 with a memoryless strategy and initial credit $(n-1) \cdot W$ from every winning state ([8, 4, 6]). By Lemma 2, if the initial credit is $x \geq (n-1) \cdot W$, then the same strategy ensures that the energy level is always at least $x - (n-1) \cdot W$.

By induction, assume that the statement holds for all energy parity games G with $d - 1$ priorities. Consider a winning state q_0 in an energy parity game G with d priorities. By Lemma 4, player 1 has a memoryless strategy σ_{gfe} which is good-for-energy from every state in the subgame induced by Win. We consider two cases.

A. If the least priority in G is even (say it is 0). Let Win be the set of winning states for player 1 in G (thus $q_0 \in \mathsf{Win}$), and let Ω_0 be the player-1 attractor of priority-0 states in the subgraph of G induced by Win. We construct a winning strategy as follows (for clarity, we call the initial credit x though the strategy definition is independent of the value of x):

(1) play σ_{gfe} until the energy level has increased by at least $\Delta = (n-1) \cdot W$ (i.e., the energy level has reached $x + \Delta$) and proceed to (2) with energy level $x' \geq x + \Delta$, or play σ_{gfe} forever if the energy level never exceeds $x + \Delta$; note that σ_{gfe} is defined in the subgame induced by Win and therefore ensures that the play does not leave the set Win.

(2) (a) if the current state of the game is not in Ω_0, then play a winning strategy in the subgame induced by $\mathsf{Win} \setminus \Omega_0$ (which has at most $d-1$ priorities) and such that the energy level never drops below $x' - (n-k-1) \cdot W$ where $k = |\Omega_0|$ (such a strategy exists by the induction hypothesis); (b) whenever the game reaches Ω_0, then play a memoryless strategy to reach a priority-0 state (this may decrease the energy level by $k \cdot W$), and proceed to (1) with energy level at least $x' - (n-k-1) \cdot W - k \cdot W = x' - (n-1) \cdot W \geq x$;

We show that this strategy is winning in G from every state in Win. First, we show that the energy level never drops below $x - (n-1) \cdot W \geq 0$ if the initial credit is $x \geq (n-1) \cdot W$ (and thus in particular never drops below 0). In phase (1), the energy level is always at least $x - (n-1) \cdot W \geq 0$ since σ_{gfe} is memoryless and good-for-energy. If the strategy switches to phase (2), then we have already seen that the energy never drops below $x' - (n-1) \cdot W \geq x$. Therefore, whenever the strategy switches back to phase (1), the energy level has not decreased (i.e., it is at least x), and the argument can be repeated. Second, we show that the parity condition is satisfied. We consider three possible cases: (*i*) if phases (1) and (2) are played infinitely often, then priority 0 is visited infinitely often and the parity condition is satisfied; (*ii*) if phase (1) is played finitely often, then eventually phase (2) is played forever, which means that we play a winning strategy in the subgame induced by $\mathsf{Win} \setminus \Omega_0$. Therefore, by induction hypothesis the parity condition is satisfied in the game (since the parity objective is independent of finite prefixes); (*iii*) if phase (2) is played finitely often, then eventually phase (1) is played forever, which implies that eventually all visited cycles have weight 0, which entails that their least priority is even (by definition of good-for-energy strategies), hence so is the least priority visited infinitely often.

Now, we analyze the amount of memory needed by this strategy. In this analysis, we denote by $M(d,n)$ the size of the memory needed by our winning strategy in game G. In phase (1), we need to remember the energy level variation, which is between $-(n-1) \cdot W$ and $(n-1) \cdot W$, thus can be done with memory of size at most $(2n-1) \cdot W$. In phase (2), the subgame strategy has memory size bounded by $M(d-1, n-k)$, and the attractor strategy is memoryless. Hence, the size of the memory needed is at most $M(d,n) \leq (2n-1) \cdot W + 1 + M(d-1, n-k)$.

B. If the least priority in G is odd (say it is 1). Let Win be the set of winning states for player 1 in G (thus $q_0 \in \mathsf{Win}$), and let Ω_1 be the player-2 attractor of priority-1 states in the subgraph of G induced by Win. By an argument similar to the proof of Lemma 5, the set Win' of states in the subgame induced by $\mathsf{Win} \setminus \Omega_1$ that are winning (for energy parity objective) is nonempty, and player 1 is winning in the subgame induced by $\mathsf{Win} \setminus Attr_1(\mathsf{Win}')$. We construct a winning

strategy on $Attr_1(\mathsf{Win}')$ as follows (for clarity, we call the initial credit x though the strategy definition is independent of the value of x):

(1) play a memoryless strategy to reach Win' (let Δ_1 be the maximal energy cost), and proceed to (2) with energy level $x' \geq x - \Delta_1$;
(2) in Win', play a winning strategy in the subgame induced by Win' (which has at most $d-1$ priorities) and such that the energy level never drops below $x' - \Delta_2$, where $\Delta_2 = |\mathsf{Win}'| \cdot W$ (such a strategy exists by induction hypothesis); note that $\Delta_1 + \Delta_2 \leq |Attr_1(\mathsf{Win}')| \cdot W$.

We apply the same construction recursively to the subgame induced by $\mathsf{Win} \setminus Attr_1(\mathsf{Win}')$ as long as there is a state with priority 1, and call the corresponding energy drops Δ_3, Δ_4, etc.

We show that this strategy is winning in G from every state in Win. First, the sum $\Delta_1 + \Delta_2 + \ldots$ of energy drops is bounded by $|\mathsf{Win}| \cdot W$ and thus initial credit of $(n-1) \cdot W$ is enough. Second, the parity condition is satisfied since we eventually play a winning strategy in a subgame where priorities are greater than 1, and without visiting priority 1. The amount of memory needed is the sum of the memory sizes of the strategies in the sets Win' (of size k_1, k_2, etc.), and of the memoryless attractor strategy (of size 1), hence at most $M(d,n) \leq M(d-1,k_1) + \cdots + M(d-1,k_m) + 1$ where $k_1 + \cdots + k_m < n$.

Combining the recurrence relations obtained in **A** and **B** for $M(d,n)$, we verify that $M(d,n) \leq 2 \cdot n \cdot W + n \cdot (d-2) \cdot W \leq n \cdot d \cdot W$ when d is even, and $M(d,n) \leq n \cdot (d-1) \cdot W$ when d is odd. □

The following theorem summarizes the upper bounds on memory requirement in energy parity games. Note that player 1 may need exponential memory as illustrated in Example 1.

Theorem 1 (Strategy Complexity). *For all energy parity games, the following assertions hold: (1) winning strategies with memory of size $n \cdot d \cdot W$ exist for player 1; (2) memoryless winning strategies exist for player 2; (3) memoryless winning strategies exist for player 1 in energy coBüchi games.*

4 Computational Complexity of Energy Parity Games

We show that the initial credit problem for energy parity games is in NP ∩ coNP. The coNP upper bound follows from Lemma 7 showing that in player-1 games, the initial credit problem for energy parity objectives can be solved in polynomial time, and from the fact that memoryless strategies are sufficient for player 2 (Lemma 3). The NP upper bound may be surprising since exponential memory may be necessary for player 1 to win. However, we show that winning strategies with a special structure (that alternate between good-for-energy strategies and attractor strategies) can be constructed and this entails the NP upper bound. The details are presented after Lemma 7.

Lemma 7. *The problem of deciding, given a player-*1 *energy parity game* G *and an initial state* q*, if there exists a finite initial credit such that player* 1 *wins in* G *from* q *can be solved in polynomial time.*

Proof. By the analysis in the proof of Lemma 1, a polynomial-time algorithm for the initial credit problem in a player-1 energy parity game G is as follows: for each even priority $2i$, consider the decomposition in maximal strongly connected components (scc) of the restriction of G to the states with priority at least $2i$. The algorithm checks for every scc S whether (a) S contains a state with priority $2i$ and a (strictly) positive cycle (using a shortest-path algorithm), or (b) S contains a cycle of energy level 0 through a state with priority $2i$ (again using a shortest-path algorithm). The algorithm returns YES if for some priority $2i$ and some scc S, condition (a) or (b) is satisfied. The correctness of this algorithm follows from the analysis in the proof of Lemma 1, in particular if (a) holds, then a finite initial credit is sufficient to reach the positive cycle, and repeating this cycles gives enough energy to visit priority $2i$ in the scc and get back to the cycle, and if (b) holds, then reaching the energy-0 cycle requires finite initial credit, and looping through it forever is winning. Since there are at most $|Q|$ priorities, and at most $|Q|$ scc's, and since algorithms for scc decomposition and shortest-path problem run in polynomial time, the desired result follows. □

Lemma 8. *Let* G *be an energy parity game. The problem of deciding, given a state* q_0 *and a memoryless strategy* σ*, whether* σ *is good-for-energy in* q_0*, can be solved in polynomial time.*

Proof. Consider the restriction $\widehat{G}$ of the graph G_σ to the states reachable from q_0 under strategy σ. First, for each state q in this graph, an algorithm for the shortest path problem can be used to check in polynomial time that every cycle through q has nonnegative sum of weights. Second, for each state q with odd priority $p(q)$, the same algorithm checks that every cycle through q in the restriction of $\widehat{G}$ to the states with priority at least $p(q)$ has (strictly) positive sum of weights. □

We first establish the NP membership of the initial credit problem for the case of energy parity games with two priorities. For energy coBüchi games, the result follows from Lemma 5, and for energy Büchi games, the proof gives a good flavor of the argument in the general case.

Lemma 9. *The problem of deciding, given a state* q *in an energy Büchi (resp. coBüchi) game* G*, if there exists a finite initial credit such that player* 1 *wins in* G *from* q *is in NP.*

Proof. By Lemma 5, an NP-algorithm for energy coBüchi games $\langle G, p, w\rangle$ guesses a memoryless strategy σ and checks in polynomial time that σ is winning for both the energy game $\langle G, w\rangle$ and the coBüchi game $\langle G, p\rangle$. This ensures that all cycles in G_σ are positive (for energy) and visit only priority-2 states, and thus σ is winning in the energy coBüchi game.

For energy Büchi games, let Win be the set of winning states for player 1 in $\langle G, p, w\rangle$, and let G_{Win} be the subgraph of G induced by Win. Clearly there exists a memoryless strategy σ_b in G_{Win} that enforces a visit to a priority-0 state from every state in Win, and there exists a memoryless good-for-energy strategy σ_{gfe} in G_{Win} (by Lemma 4, since G_{Win} is a well-defined subgame). Note that playing σ_{gfe} ensures the play remains within the winning set Win. We show that the converse holds: if such strategies σ_b and σ_{gfe} exist, then player 1 wins in the energy Büchi game $\langle G, p, w\rangle$. Let $n = |Q|$ be the number of states. To prove this, we give an informal description of a winning strategy for player 1 (with initial credit $(n-1)\cdot W$) as follows: (1) play strategy σ_{gfe} as long as the energy level is below $2\cdot(n-1)\cdot W$; (2) if the energy level gets higher than $2\cdot(n-1)\cdot W$, then play σ_b until a priority-0 state is visited (thus σ_b is played during at most $n-1$ steps), and proceed to step (1) with energy level at least $(n-1)\cdot W$.

Let ρ be an outcome of this strategy with initial credit $(n-1)\cdot W$. First, we show that the energy level is nonnegative in every position of ρ. By definition of good-for-energy strategies, in every cycle of $G_{\sigma_{gfe}}$ the sum of the weights is nonnegative. Therefore in the prefixes of ρ corresponding to part (1) of the strategy, the energy level is always nonnegative. Whenever, part (2) of the strategy is played, the energy level is at least $2\cdot(n-1)\cdot W$ and thus after (at most) $n-1$ steps of playing σ_b, the energy level is still at least $(n-1)\cdot W$, and the argument can be repeated. Second, we show that priority-0 states are visited infinitely often in ρ. This is obvious if part (2) of the strategy is played infinitely often; otherwise, from some point in ρ, part (1) of the strategy is played forever which implies that in the cycle decomposition of ρ, ultimately all cycles have sum of weights equal to zero. By definition of good-for-energy strategies, every such cycle is even, i.e., visits a priority-0 state.

Therefore, an NP-algorithm for energy Büchi games guesses the set $\mathsf{Win} \subseteq Q$ and the memoryless strategies σ_b and σ_{gfe} on Win, and checks in polynomial time using standard graph algorithms that σ_b enforces a visit to a priority-0 state in Win, that σ_{gfe} is good-for-energy (see Lemma 8), and that $q \in \mathsf{Win}$. □

Lemma 10. *The problem of deciding, given a state q in an energy parity game G, if there exists a finite initial credit such that player 1 wins in G from q is in NP.*

Proof. We prove that there exists an NP algorithm that guesses the set of winning states in G, which entails the lemma. The result holds for energy parity games with two priorities by Lemma 9. Assume by induction that the result holds for games with less than d priorities, and let G be an energy parity game with d priorities and n states.

First, if the least priority in G is even (assume w.l.o.g. that the least priority is 0), an NP algorithm guesses (i) the set Win of winning states in G, and (ii) a memoryless good-for-energy strategy σ_{gfe} in the subgame G_{Win} induced by Win, which must exist by Lemma 4 (this can be done in polynomial time by Lemma 8). Let Ω_0 be the player-1 attractor of priority-0 states in the subgraph of G induced by Win. By induction, we can check in NP that player 1 is winning

in the subgraph of G induced by $\mathsf{Win} \setminus \Omega_0$ (because this game has less than d priorities). This is sufficient to establish that player 1 wins in G with initial credit $n \cdot W$, using the following strategy: (1) play strategy σ_{gfe} as long as the energy level is below $2 \cdot n \cdot W$; (2) while the game is in $\mathsf{Win} \setminus \Omega_0$, we know that player 1 can play a winning strategy that needs initial credit at most $(n-k) \cdot W$ where $k = |\Omega_0|$ and such that the energy level drops by at most $(n-k-1) \cdot W$ (see also the proof of Lemma 6), and therefore (3) if the game leaves $\mathsf{Win} \setminus \Omega_0$, then the energy level is at least $2n-(n-k-1) \cdot W = (n+k+1) \cdot W$ which is enough for player 1 to survive while enforcing a visit to a priority-0 state (within at most $|\Omega_0| = k$ steps) and to proceed to step (1) with energy level at least $n \cdot W$. Arguments similar to the proof of Lemma 9 shows that this strategy is winning, with initial credit $n \cdot W$. The time complexity of the verification algorithm is $T(n, m, d, W) = p(n, m, \log W) + T(n-1, m, d-1, W)$, where n, m, d, and W denote the number of states, the number of edges, the number of priorities, and the maximal absolute weight, and $p(\cdot)$ is a polynomial function for the time complexity of guessing Win and σ_{gfe}, checking that σ_{gfe} is good-for-energy, and computing the player-1 attractor of priority-0 states Ω_0.

Second, if the least priority in G is odd (assume w.l.o.g. that the least priority is 1), consider the set Win of winning states in G, and Ω_1 the player-2 attractor of priority-1 states in the subgame of G induced by Win. By an argument similar to the proof of Lemma 5, the set Win' of states in the subgame induced by $\mathsf{Win} \setminus \Omega_1$ that are winning (for energy parity objective) is nonempty, and player 1 is winning in the subgame induced by $\mathsf{Win} \setminus Attr_1(\mathsf{Win}')$. An NP algorithm guesses the sets Win and Win', and checks that player 1 is winning in Win' (which can be done in NP, since $\mathsf{Win} \setminus \Omega_1$ has less than d priorities), and that player 1 is winning in $\mathsf{Win} \setminus Attr_1(\mathsf{Win}')$ which can be done in NP. The time recurrence for the verification algorithm is similar as in the previous case, and we obtain that $O(n \cdot d \cdot p(n, m, \log W))$ is a solution to the recurrence. Hence the verification algorithm is polynomial and the desired result follows. □

Theorem 2 (Computational Complexity). *The problem of deciding the existence of a finite initial credit for energy parity games is in NP ∩ coNP.*

Proof. By Lemma 10, the problem is in NP, and since memoryless strategies are sufficient for player 2 (by Lemma 3), a coNP algorithm can guess a memoryless spoiling strategy and check in polynomial time that player 1 is not winning in the resulting player-1 game (by Lemma 7). □

5 Algorithm for Energy Parity Games

We present a deterministic algorithm to decide the winner in energy parity games with complexity exponential in the number of states (as for parity games), and only linear in the largest weight (as for energy games). Our algorithm is based on a procedure to construct memoryless good-for-energy strategies. To obtain a good-for-energy strategy, we modify the weights in the game so that every simple cycle with (original) sum of weight 0 gets a strictly positive weight if it is even,

and a strictly negative weight if it is odd. Winning strategies in the energy game with modified weights correspond to good-for-energy strategies in the original game.

Lemma 11. *The problem of deciding the existence of a memoryless good-for-energy strategy in energy parity games can be solved in time* $O(|E| \cdot |Q|^{d+1} \cdot W)$.

Proof (of Lemma 11). Given an energy parity game $\langle G, p, w\rangle$, we construct a weight function w' such that player 1 has a memoryless good-for-energy strategy in $\langle G, p, w\rangle$ if and only if player 1 wins in the energy game $\langle G, w'\rangle$. The maximal weight according to w' becomes $W' = W \cdot |Q|^d$, and the complexity result then follows from the algorithm of [9, 6] which solves energy games in $O(|E| \cdot |Q| \cdot W')$.

Let $0, \ldots, d-1$ be the priorities in the energy parity game, and denote by EL' the energy level function defined according to w'. The function w' is defined by $w'(q, q') = w(q, q') + \Delta(q)$ where

$$\Delta(q) = (-1)^k \cdot \frac{1}{(n+1)^{k+1}}$$

for all $q, q' \in Q$ with $k = p(q)$ and $n = |Q|$. Note that $|\Delta(q)| < \frac{1}{n^{k+1}}$ for all $q \in Q$ with $k = p(q)$, and in particular $|\Delta(q)| < \frac{1}{n}$ for all $q \in Q$. Therefore, $n \cdot |\Delta(q)| < 1$ and thus if $\mathsf{EL}(C) < 0$ (i.e., $\mathsf{EL}(C) \leq -1$) for a simple cycle C in G, then $\mathsf{EL}'(C) < 0$, and if $\mathsf{EL}(C) > 0$, then $\mathsf{EL}'(C) > 0$. Moreover, if the least priority of a state in C is k, then

$$\mathsf{EL}'(C) - \mathsf{EL}(C) > \tfrac{1}{(n+1)^{k+1}} - (n-1) \cdot \tfrac{1}{(n+1)^{k+2}} > 0 \text{ if } k \text{ is even, and}$$
$$\mathsf{EL}'(C) - \mathsf{EL}(C) < \tfrac{-1}{(n+1)^{k+1}} + (n-1) \cdot \tfrac{1}{(n+1)^{k+2}} < 0 \text{ if } k \text{ is odd.}$$

So, for simple cycles C with $\mathsf{EL}(C) = 0$, if the least priority in C is even, then $\mathsf{EL}'(C) > 0$, while if the least priority in C is odd, then $\mathsf{EL}'(C) < 0$. Therefore, a (memoryless) winning strategy in the energy game $\langle G, w'\rangle$ can be used as a good-for-energy strategy that avoids odd cycles with sum of weights equal to zero. Clearly, the converse also holds, namely if a memoryless strategy is good-for-energy in the energy parity game, then it is winning in the energy game. Note that by multiplying the weights in w' by $(n+1)^d$, we get integer weights and the complexity result follows. □

We present a recursive fixpoint algorithm for solving energy parity games, using the result of Lemma 11. Our algorithm is a generalization of the classical algorithm of McNaughton [18] and Zielonka [24] for solving parity games. The formal description of the algorithm is shown as Algorithm 1.

Informal description and correctness of Algorithm 1. We assume without loss of generality that the least priority in the input game graph is either 0 or 1; if not, then we can reduce the priority in every state by 2. The algorithm considers two cases: (a) when the minimum priority is 0, and (b) when the minimum priority is 1. The details of the two cases are as follows:

Algorithm 1: SolveEnergyParityGame

Input : An energy parity game $\langle G, p, w\rangle$ with state space Q.
Output : The set of winning states in $\langle G, p, w\rangle$ for player 1.
begin

```
1   if Q = ∅ then return ∅
2   Let k* be the minimal priority in G. Assume w.l.o.g. that k* ∈ {0,1}
3   Let G_0 be the game G
4   i ← 0
5   if k* = 0 then
6       A_0 ← Q   /* over-approximation of player-1 winning states */
7       repeat
8           A'_i ← SolveEnergyGame(G_i, w') (where w' is defined in Lemma 11)
9           X_i ← Attr_1(A'_i ∩ p^{-1}(0))
10          Let G'_i be the subgraph of G_i induced by A'_i \ X_i
11          Z_i ← (A'_i \ X_i) \ SolveEnergyParityGame(G'_i, p, w)
12          A_{i+1} ← A'_i \ Attr_2(Z_i)
13          Let G_{i+1} be the subgraph of G_i induced by A_{i+1}
14          i ← i + 1
        until A_i = A_{i-1}
15      return A_i
16  if k* = 1 then
17      B_0 ← Q   /* over-approximation of player-2 winning states */
18      repeat
19          Y_i ← Attr_2(B_i ∩ p^{-1}(1))
20          Let G_{i+1} be the subgraph of G_i induced by B_i \ Y_i
21          B_{i+1} ← B_i \ Attr_1(SolveEnergyParityGame(G_{i+1}, p, w))
22          i ← i + 1
        until B_i = B_{i-1}
23      return Q \ B_i
end
```

(a) If the least priority in the game is 0, then we compute the winning states of player 1 as the limit of a decreasing sequence $A_0, A_1, \ldots$ of sets. Each iteration removes from A_i some states that are winning for player 2. The set $A'_i \subseteq A_i$ contains the states having a good-for-energy strategy (line 8) which is a necessary condition to win, according to Lemma 4. We decompose A'_i into X_i and $A'_i \setminus X_i$, where X_i is the set of states from which player 1 can force a visit to priority-0 states, and $A'_i \setminus X_i$ has less priorities than A'_i. The winning states Z_i in $A'_i \setminus X_i$ for player 2 are also winning in the original game (as in $A'_i \setminus X_i$ player 1 has no edge going out of $A'_i \setminus X_i$). Therefore we remove Z_i and player-2 attractor to Z_i in A_{i+1}. The correctness argument for this case is similar to the proof of Lemma 10, namely that when $A_i = A'_i = A_{i-1}$, player 1 wins by playing a winning strategy in $A'_i \setminus X_i$ (which exists by an inductive argument on the number of recursive calls of the algorithm), and whenever the game enters X_i, then player 1 can survive while forcing a visit

to a priority-0 state, and then uses a good-for-energy strategy to recover enough energy to proceed.

(b) The second part of the algorithm (when the least priority in the game is 1) computes a decreasing sequence $B_0, B_1, \ldots$ of sets containing the winning states of player 2. The correctness is proven in a symmetric way using the same argument as in the second part of the proof of Lemma 10.

We obtain the following result, where d is the number of priorities in the game, and W is the largest weight.

Theorem 3 (Algorithmic Complexity). *The finite initial credit problem for energy parity games (i.e., deciding the existence of a finite initial credit) can be solved in time* $O(|E| \cdot d \cdot |Q|^{d+2} \cdot W)$.

Proof. This problem is solved by Algorithm 1. The key correctness argument is given above. The complexity result assumes that good-for-energy strategies can be computed in time $\mathsf{GFE}(d) = O(|E| \cdot |Q|^{d+1} \cdot W)$ (see Lemma 11).

Let $T(d)$ be the complexity of Algorithm 1, parameterized by the number of priorities in the game. Note that the attractors (lines 9, 12, 19, 21) can be computed in $O(|E|)$ which is subsumed by $\mathsf{GFE}(d)$. Since every recursive call removes at least one state from A_i (or from B_i), there are at most $|Q|$ recursive calls, and since the number of priorities decreases in a recursive call, we get

$$T(d) \leq |Q| \cdot (\mathsf{GFE}(d) + T(d-1))$$

and since $|Q| \cdot \mathsf{GFE}(d) = \mathsf{GFE}(d+1)$, we get $T(d) \leq \mathsf{GFE}(d+1) + |Q| \cdot (T(d-1))$. Since $d = 0$ corresponds to a game with empty state space, we have $T(0) = O(1)$ and it is easy to see that $T(d) \leq d \cdot \mathsf{GFE}(d+1) + |Q|^d$. The result follows. □

Energy Büchi and coBüchi games. In the special case of energy Büchi objectives, since d is constant ($d = 2$), the analysis in the proof of Theorem 3 gives time complexity $O(|E| \cdot |Q|^4 \cdot W)$. In the case of energy coBüchi objectives, the smallest priority is 1 and there is only one other priority. In this case, line 21 of Algorithm 1 requires to solve an energy parity game with one priority which can be solved as simple energy games in $O(|E| \cdot |Q| \cdot W)$. Thus in the special case of energy coBüchi objectives Algorithm 1 has $O(|E| \cdot |Q|^2 \cdot W)$ running time.

Computing the minimum initial credit and a winning strategy. Note that if the procedure SolveEnergyGame used in Algorithm 1 also computes the minimum initial credit $v(q)$ in each winning state q of the energy game $\langle G_i, w' \rangle$ along with a winning strategy (and it is the case of the algorithm in [9, 6]), then we can also obtain the minimum initial credit in the energy parity game $\langle G, p, w \rangle$ by rounding $v(q)$ to an integer, either up or down. Therefore, computing the minimum initial credit in energy parity games can be done in time $O(|E| \cdot d \cdot |Q|^{d+2} \cdot W)$. Analogously, a winning strategy can be constructed from the good-for-energy winning strategies computed by the procedure SolveEnergyGame (line 8), and from the attractor strategies. The construction of a winning strategy follows the lines of the proof of Lemma 10.

Objective	Player 1 Strategy	Player 2 Strategy	Computational Complexity	Algorithmic Complexity
Energy coBüchi	Memoryless	Memoryless	NP ∩ coNP	$O(\|E\| \cdot \|Q\|^2 \cdot W)$
Energy Büchi	Optimal memory: $2 \cdot (\|Q\| - 1) \cdot W + 1$	Memoryless	NP ∩ coNP	$O(\|E\| \cdot \|Q\|^4 \cdot W)$
Energy parity	Memory at most: $\|Q\| \cdot d \cdot W$	Memoryless	NP ∩ coNP	$O(\|E\| \cdot d \cdot \|Q\|^{d+2} \cdot W)$

Table 1. Strategy, computational and algorithmic complexity of energy parity games.

Our results about the memory requirement of strategies, and the computational and algorithmic complexity of energy parity games are summarized in Table 1.

6 Relationship with Mean-payoff Parity Games

We show that there is a tight relationship between energy parity games and mean-payoff parity games. The work in [10] shows that optimal[5] strategies in mean-payoff parity games may require infinite memory, though they can be decomposed into several memoryless strategies. We show that energy parity games are logspace equivalent to mean-payoff parity games, leading to NP ∩ coNP membership of the problem of deciding the winner in mean-payoff parity games, and leading to an algorithm for solving such games which is conceptually much simpler than the algorithm of [10], with essentially the same complexity (linear in the largest weight, and exponential in the number of states only).

Theorem 4. *Let $\langle G, p, w\rangle$ be a game, and let $\epsilon = \frac{1}{|Q|+1}$. Player 1 has a winning strategy in the mean-payoff parity game $\langle G, p, w\rangle$ if and only if player 1 has a winning strategy in the energy parity game $\langle G, p, w + \epsilon\rangle$.*

Proof. We present the two directions of the proof.

1. Assume that player 1 wins from a state q_0 in the mean-payoff parity game $\langle G, p, w\rangle$. Then, for all $\varepsilon > 0$ there exists a finite-memory winning strategy σ in G with threshold $-\varepsilon$ from q_0 [10]. Consider a finite-memory winning strategy σ for $\epsilon = \frac{1}{|Q|+1}$. We show that σ is winning in the energy parity game $\langle G, p, w + \epsilon\rangle$ from q_0.
   Consider the graph G_σ. By definition of σ, the average of the weights (according to w) in all cycles of G_σ reachable from q_0 is at least $-\epsilon$, and the least priority in every such cycle is even. Therefore, in every outcome of σ from q_0, the parity condition is satisfied, and the sum of weights (according to $w + \epsilon$) is nonnegative, hence σ is winning in the energy parity game

[5] A strategy is optimal if it is winning for $\mathsf{Parity}_G(p) \cap \mathsf{MeanPayoff}_G(\nu)$ with the largest possible threshold ν. It is known that the largest threshold is rational [10].

$\langle G, p, w + \epsilon \rangle$ from q_0, with initial credit $|G_\sigma| \cdot W$, where $|G_\sigma|$ denotes the number of states in G_σ.

2. Assume that player 1 wins from a state q_0 in the energy parity game $\langle G, p, w + \epsilon \rangle$. Then, there exists a finite-memory strategy σ in G from q_0 that ensures in G_σ that all cycles reachable from q_0 have least priority even, and nonnegative sum of weights (according to $w + \epsilon$), i.e., the average of the weights (according to w) is at least $-\epsilon$. Therefore, the value of strategy σ in the mean-payoff parity game $\langle G, p, w \rangle$ from q_0 is at least $-\epsilon$.
   Now, the results of [10] show that the optimal value that player 1 can ensure in a mean-payoff parity game is a rational number of the form $\frac{e}{d}$ such that $1 \leq d \leq |Q|$ and $|e| \leq d \cdot W$. It follows that if the value for mean-payoff parity games is greater than $\frac{1}{|Q|}$, then the value is at least 0. Since $\epsilon < \frac{1}{|Q|}$, it follows that there must exist a strategy for player 1 in $\langle G, p, w \rangle$ from q_0 with value at least 0, hence player 1 is winning in the mean-payoff parity game $\langle G, p, w \rangle$ from q_0.

The result follows. □

For mean-payoff objectives defined by a rational threshold $\nu = \frac{a}{b}$ with $a, b \in \mathbb{Z}$, the equivalence in Theorem 4 holds for $\epsilon = \frac{1}{b \cdot (|Q|+1)}$, i.e. player 1 has a winning strategy in the game $\langle G, p, w \rangle$ with objective $\mathsf{Parity}_G(p) \cap \mathsf{MeanPayoff}_G(\nu)$ if and only if there exists an initial credit $c_0 \in \mathbb{N}$ such that player 1 has a winning strategy in the game $\langle G, p, w + \epsilon \rangle$ with objective $\mathsf{Parity}_G(p) \cap \mathsf{PosEnergy}_G(c_0)$.

Corollary 1. *Given a mean-payoff parity game, whether player 1 has a winning strategy from a state q_0 can be decided in NP ∩ coNP.*

Corollary 2. *The problem of deciding the winner in mean-payoff parity games can be solved in time $O(|E| \cdot d \cdot |Q|^{d+2} \cdot W \cdot (|Q| + 1))$.*

A direct algorithm to solve mean-payoff parity games (instead of reduction to energy-parity games by changing the weight function) which is similar to our energy-parity games algorithm solves mean-payoff parity games in time $O(|E| \cdot |Q|^{d+2} \cdot W)$ [5].

Acknowledgements. We thank Thomas A. Henzinger and Barbara Jobstmann for inspiring discussions, and Patricia Bouyer, Nicolas Markey, Jörg Olschewski, and Michael Ummels for helpful comments on a preliminary draft.

References

1. M. Abadi, L. Lamport, and P. Wolper. Realizable and unrealizable specifications of reactive systems. In *Proc. of ICALP*, LNCS 372, pages 1–17. Springer, 1989.
2. H. Björklund, S. Sandberg, and S. G. Vorobyov. Memoryless determinacy of parity and mean payoff games: a simple proof. *Theor. Comput. Sci.*, 310(1-3):365–378, 2004.
3. R. Bloem, K. Chatterjee, T. A. Henzinger, and B. Jobstmann. Better quality in synthesis through quantitative objectives. In *Proc. of CAV*, LNCS 5643, pages 140–156. Springer, 2009.

4. P. Bouyer, U. Fahrenberg, K. G. Larsen, N. Markey, and J. Srba. Infinite runs in weighted timed automata with energy constraints. In *Proc. of FORMATS*, LNCS 5215, pages 33–47. Springer, 2008.
5. P. Bouyer, N. Markey, J. Olschewski, and M. Ummels. Measuring permissiveness in parity games: Mean-payoff parity games revisited. In *Proc. of ATVA: Automated Technology for Verification and Analysis*, LNCS 6996, pages 135–149. Springer, 2011.
6. L. Brim, J. Chaloupka, L. Doyen, R. Gentilini, and J.-F. Raskin. Faster algorithms for mean-payoff games. *Formal Methods in System Design*, 38(2):97–118, 2011.
7. J. R. Büchi and L. H. Landweber. Solving sequential conditions by finite-state strategies. *SIAM Journal on Control and Optimization*, 25(1):206–230, 1987.
8. A. Chakrabarti, L. de Alfaro, T. A. Henzinger, and M. Stoelinga. Resource interfaces. In *Proc. of EMSOFT*, LNCS 2855, pages 117–133. Springer, 2003.
9. J. Chaloupka and L. Brim. Faster algorithm for mean-payoff games. In *Proc. of MEMICS*, pages 45–53. NOVPRESS, 2009.
10. K. Chatterjee, T. A. Henzinger, and M. Jurdzinski. Mean-payoff parity games. In *Proc. of LICS*, pages 178–187. IEEE Computer Society, 2005.
11. A. Condon. The complexity of stochastic games. *Inf. Comput.*, 96(2):203–224, 1992.
12. E. A. Emerson and C. Jutla. Tree automata, mu-calculus and determinacy. In *Proc. of FOCS*, pages 368–377. IEEE, 1991.
13. E. A. Emerson, C. S. Jutla, and A. P. Sistla. On model-checking for fragments of μ-calculus. In *Proc. of CAV*, LNCS 697, pages 385–396. Springer, 1993.
14. H. Gimbert and W. Zielonka. Games where you can play optimally without any memory. In *Proc. of CONCUR: Concurrency Theory*, LNCS 3653, pages 428–442. Springer, 2005.
15. E. Grädel, W. Thomas, and T. Wilke, editors. *Automata, Logics, and Infinite Games: A Guide to Current Research*, LNCS 2500. Springer, 2002.
16. Y. Gurevich and L. Harrington. Trees, automata, and games. In *Proc. of STOC*, pages 60–65. ACM Press, 1982.
17. E. Kopczyński. Half-positional determinacy of infinite games. In *Proc. of ICALP: Automata, Languages and Programming (2)*, LNCS 4052, pages 336–347. Springer, 2006.
18. R. McNaughton. Infinite games played on finite graphs. *Annals of Pure and Applied Logic*, 65(2):149–184, 1993.
19. A. Pnueli and R. Rosner. On the synthesis of a reactive module. In *Proc. of POPL*, pages 179–190, 1989.
20. P. J. Ramadge and W. M. Wonham. Supervisory control of a class of discrete event processes. *SIAM Journal on Control and Optimization*, 25(1):206–230, 1987.
21. O. Serre. Parity games played on transition graphs of one-counter processes. In *Proc. of FoSSaCS*, LNCS 3921, pages 337–351. Springer, 2006.
22. L. S. Shapley. Stochastic games. In *Proc. of the National Acadamy of Science USA*, volume 39, pages 1095–1100, 1953.
23. W. Thomas. Languages, automata, and logic. In *Handbook of Formal Languages*, volume 3, Beyond Words, chapter 7, pages 389–455. Springer, 1997.
24. W. Zielonka. Infinite games on finitely coloured graphs with applications to automata on infinite trees. *Theor. Comput. Sci.*, 200:135–183, 1998.
25. U. Zwick and M. Paterson. The complexity of mean payoff games on graphs. *Theor. Comput. Sci.*, 158(1&2):343–359, 1996.